



General Regulations

SOUTH AFRICAN EQUESTRIAN FEDERATION

3RD EDITION, SEPTEMBER 2021 (EFFECTIVE DATE: 1 JANUARY 2022)

These General Regulations were amended and approved by the Executive Committee of the South African Equestrian Federation and subsequently ratified by the National Council on 9 October 2021. These General Regulations will come into effect on 1 January 2022.

SECTION 02 – PARTICIPATION OF ATHLETES AND HORSES

02.1.0 - REGISTRATION AND ELIGIBILITY OF ATHLETES AND HORSES

- 02.1.1 All Athletes shall be registered with a Club within the Geographical Province and/or District where they permanently reside and shall be renew their registration annually with that Club, as set out in the constitution of the Club.
- 02.1.2 It will be permissible for an Athlete to be registered with a Club outside of their Geographical Province and/or District where:
- 02.1.2.i the Discipline which the Athlete participates / competes in is not offered at any Clubs within that Province and/or District; and/or
- 02.1.2.ii the nearest Club offering the Discipline the Athlete participates / competes in, is within another Province and/or District.
- 02.1.3 Where an Athlete does not meet the requirements set out in Regulation 02.1.2.i or 02.1.2.ii above but chooses not to be registered with a Club within his/her Geographical Province / District, written notice and reasons for this exception must be provided to the Provincial Federation and the SAEF.
- 02.1.4 All Horses and Athletes (Club members) shall be registered with the SAEF and the relevant Discipline Association(s) and shall renew such registration by no later than 31 January of each year. Where an Athlete fails to pay the annual registration costs by 28 February of any year, such a Registered Individual will cease to be registered with the SAEF and will be deleted from the SAEF's database. Any subsequent re-registration will attract a joining fee and the annual registration fee, to be determined by the SAEF.
- 02.1.5 Athletes, owners and/or sponsors may only be registered under one Geo-Political Province.
- 02.1.6 When a Horse is registered or re-registered the Owner shall declare in writing whether it shall compete in Horse classes or Pony Classes. Such a declaration remains in force until the Horse is re-registered the following year; provided that the classification of the Horse may at any time be changed by the Owner in writing. Vaulting horses are not classified in Horse or Pony classification.
- 02.1.7 The name of a Horse, as it appears in the Passport, shall be the name under which it will be registered with the SAEF all other relevant Discipline Associations.
- 02.1.8 For FEI registration purposes the official registered name in the passport must be used.
- 02.1.9 In order to enable names to be recorded on computers and electronic scoreboards, they must be kept as short as possible. Should any name exceed 25 characters (including spaces, sponsors and/or stud names) the Organising Committee is authorised to abbreviate such name for purposes of printing it in its programmes, starting lists or any other document.

- 02.1.10 All passports shall be issued by the SAEF. Any amendments and any entry in the passports may only be validated by SAEF or their appointed Agents. A Registered Veterinarian must be responsible for any/all changes and/or amendments on the ID pages in the passport.
- 02.1.11 If corrections in the passport are not signed and stamped (by either the SAEF, their appointed Agents or a Registered Veterinarian), as described in 02.1.10 above, the passport will be deemed invalid. No correcting fluid (Tipp-Ex) may be used in a passport. Any passport with correcting fluid on any of the pages will be deemed invalid.
- 02.1.11 Only Registered Individuals in good standing may compete and/or participate in Recognised and/or Sanctioned Competitions, Events or Activities for grading/qualification purposes. In all Recognised and/or Sanctioned Competitions, Events or Activities, Competitors (Athletes) must be registered with the SAEF and the Discipline Association and must also be a member of a Club.
- 02.1.12 It is the responsibility of the relevant Discipline Association and/or Organising Committee to ensure that all Athletes and Horses entered to participate or compete in an Event and/or Competition, are registered with the SAEF, relevant Discipline Association and Club.
- 02.1.13 Where an Athlete and/or Horse(s) are not correctly registered with the SAEF, relevant Discipline Association and/or a Club, such an Athlete and/or Horse(s) shall be automatically Disqualified from any Event and/or Competition they will or have competed / participated in whilst not being correctly registered.

02.2.0 - INTERNATIONAL COMPETITION

- 02.2.1 Athletes and Horses qualified in terms of the FEI and/or another International Federation qualification rules and regulations, to compete in an International Event, which require such qualification, are automatically permitted to take part in such an International Event. Should there be more Athletes and/or Horses qualified, wishing to participate than there are available places in the International Event, then the National Selection Committee of the Discipline concerned, will decide which of those Athletes and/or Horses shall compete. This decision is subject to approval of the Discipline Association Council concerned and must be ratified by SAEF National Council.
- 02.2.2 The SAEF, SASCOG and where relevant the FEI or IOC or other International Federation criteria will dictate the selection policies and protocols for Athletes to compete in International Competitions and/or Events.
- 02.2.3 No change to any published selection criteria may be made without notifying the Secretary General and prior written approval of the Discipline Association and ratification by SAEF Legal and Colours Portfolio.
- 02.2.4 The Chef d'Equipe, Veterinarian and/or any other Official required to be appointed for any International Event shall be appointed by the by the Discipline Association and the SAEF.

02.3.0 - INTERNATIONAL LICENCES

- 02.3.1 To the extent that an Athlete and/or Horse(s) requires an International Licence (from either the FEI or other International Federation) to compete in CI Events, such International Licence must be obtained in terms the relevant International Federation's rules and regulations.

02.4.0 - ENTRIES FOR RECOGNISED EVENTS

- 02.4.1 The FEI (or other International Federation) may impose a specific Entry System to be used by Organising Committee and National Federations to manage all Athlete and Horse entries. This will be communicated by the FEI and/or other International Federation to the SAEF.
- 02.4.2 All Athletes and Horses shall be registered, in good standing with the SAEF and the relevant Discipline Association.
- 02.4.3 All Horses, registered with the SAEF shall have a SAEF passport, issued by the SAEF.
- 02.4.4 The Entry form, whether electronic or manual, must contain the following information:
- i. Class(s) entered
 - ii. Name of Horse(s)
 - iii. Name of Athlete
 - iv. Name of Owner
 - v. Last two Flu Vaccinations Batch Numbers and dates. Stamped and Signed by the Vet
 - vi. Last two AHS Inoculations plus Batch Numbers and dates. Stamped and Signed by the Vet
 - vii. Horse passport number
 - viii. Full contact details for the Athlete entering
 - ix. Details of Trainer / Coach (where applicable) Acceptance (signature or electronic acceptance of the rules and regulations under which the Event will be conducted)
 - x. Date of acceptance and signature
 - xi. Signature and stamp of the Provincial Discipline Association (where required)
 - xii. Confirmation of payment
 - xiii. Disclaimer
- 02.4.5 The Schedule shall reflect the closing date of entries.
- 02.4.6 In respect of Events holding CN Status and above, the closing date may not be changed without the written consent of the Discipline Association and notification to the Secretary General.
- 02.4.7 In respect of Events below CN Status, this date may not be changed without the written consent of the Provincial Discipline Association concerned.
- 02.4.8 The Organising Committee shall be permitted, provided it is stated in the Schedule, to accept entries received after the published closing date, up to such date as they may determine, provide that the date is published in the Schedule and that an additional late entry fee is charged. Such additional late entry fees shall be at least equal to half the entry

fee for the classes concerned and shall not be taken into account in determining the entry fees for purposes of calculating prize money. Levies on late entries will however be determined from the result- and score sheets to be forwarded to the relevant National Discipline Associations.

02.5.0 - NATIONAL SELECTION COMMITTEES

- 02.5.1 Selection of Regional, Provincial and National Teams are undertaken by each relevant Discipline. To the extent that there is no selection criteria in the Discipline specific rules, the Principles contained in Appendix GR/E will prevail.
- 02.5.2 No person shall serve on a National Selection Committee where any selection is being made to which he/she could be an interested party or where his/her judgement could be impaired by reason that, as regards the Athlete/Horse(s) under consideration for selection, he/she is:
- i Related to the Athlete;
 - ii The coach/trainer or instructor of the Athlete;
 - iii The owner of any Horse ridden by the Athlete.

02.6.0 - NATIONAL PROTEA COLOURS

- 02.6.1 This section is replaced by Appendix GR/B and is in accordance with the SAEF and SASCO Colour's Policy. When necessary, these rules will automatically be amended in accordance with the SASCO and SAEF Policies.

02.7.0 – PERSON RESPONSIBLE

- 02.7.1 The Person Responsible for a Horse has legal responsibility for that Horse, including responsibility under these General Regulations and the Veterinary Regulations and unless otherwise stated, is liable under the Legal System (see section 8 hereunder).
- 02.7.2 It is the obligation of the Person Responsible and of every other person subject to the Constitution, these General Regulations, Discipline specific rules and regulations to know and understand the content of the Constitution, these General Regulations and the Discipline specific rules and regulations and lack of such knowledge does not relieve these persons from liability under the Constitution, General Regulations, Veterinary Regulations and Discipline specific rules and regulations.
- 02.7.3 The Person Responsible shall be the Athlete who rides, vaults or drives the Horse during an Event, but the Owner and other Support Personnel, including but not limited to grooms and veterinarians may be regarded as additional Persons Responsible if they are present at the Event or have made a relevant Decision about the Horse. In vaulting, the Lunger shall be an additional Person Responsible. In Endurance, the Trainer shall be the additional Person Responsible.

02.7.4 For Minor Athletes (Athletes under 18 years of age):

- i the Athlete (if under 18 when the entry is submitted) must indicate on the entry form who that Athlete's Representative is;
- ii the Representative may be a parent of the Athlete, the Chef d'Equipe, the team coach, the team veterinarian, the Owner or some other responsible adult and shall communicate this on the entry form;
- iii the Minor Athlete will remain the Person Responsible unless compelling circumstances warrant otherwise;
- iv the Representative may represent the minor Person Responsible in all dealings with the Organising Committee, the National Federation and the FEI (or other International Federation) Officials, including, but not limited to, witnessing the medication process;
- v where the Representative is from another National Federation than the Person Responsible, all communications shall take place through the Person Responsible's National Federation.

02.7.5 The Person Responsible, together with the Chef d'Equipe if present, is responsible for the condition fitness and management and for the declaring or 'scratching' of each and every Horse under his/her jurisdiction.

02.7.6 The Person Responsible is responsible for any act performed in the stables to any Horse under his/her jurisdiction, by himself or by any other person with access to the Horse, and is responsible while riding, driving or exercising any Horse under his/her jurisdiction. The Person Responsible is not relieved from such responsibility as a result of the lack or insufficiency of stable security.

02.7.7 If, for any reason, the Person Responsible is unable or is prevented from caring for the Horse(s) under his/her jurisdiction he/she must immediately notify the Secretary of the Organising Committee and the Veterinary Commission.

02.7.8 In the context of betting, the Person Responsible and/or any Support Personnel must not, by any manner whatsoever, infringe the principle of fair play, show non-sporting conduct, or attempt to influence the result of a Competition / Event in a manner contrary to sporting ethics. Any violations of the above will be subject to Section 8 (Legal System) hereunder.

02.8.0 - COMPETITIONS IN FOREIGN COUNTRIES OR INVITATIONS TO FOREIGN COMPETITORS

02.8.1 In the event of South African Athletes participating in CI Status Events and/or Competitions in foreign Countries, or foreign Athletes invited to ride in CI Status Competitions in the Republic of South Africa, the relevant FEI (or other relevant International Federations) General Regulations and Rules have to be strictly observed. In case of participation in CI Status Events, SAEF and all other National Federations involved have to be notified at the earliest possible time.

02.8.2 Where required by the South African Confederation and Olympic Committee, by any law or by any National Sport Controlling Entity, application shall be made to that entity for the necessary consent.

- 02.8.3 Any foreign Athletes competing in any Recognised and/or Sanctioned Events shall fall under and shall be subject to the SAEF Rules and Regulations from 30 minutes before the start of the first Horse Inspection at the Event (or if there is no Horse Inspection, the first Competition) until the Organising Committee is satisfied that the Constitution, Regulations and Rules have not been infringed and that any contraventions have been finally dealt with.
- 02.8.4 Athletes living outside of their country of nationality can have his/her entries processed by his/her host National Federation for participation in CN, CIM and CI's if such an Athlete is given permission from his/her own National Federation and the FEI.

02.9.0 – DECLARATION OF STARTERS

02.9.1 - INDIVIDUAL COMPETITIONS

- 02.9.1.i The Organising Committee of the Event shall draw the order of starting for the first Competition of each grade/level of Horse in the event.
- 02.9.1.ii Thereafter, the Horses in all subsequent Competitions in the same grade shall be displaced proportionately in relation to the number of Athletes and Competitions in that grade.
- 02.9.1.iii Unless otherwise stated in the relevant Discipline rules, the Organising Committee shall have the option of drawing the order of starting for each Competition.
- 02.9.1.iv Should an Athlete have more than one Horse in a Competition, the order of starting shall be adjusted by the Organising Committee to ensure that, whenever possible, these Horses shall have a gap between them according to the rules of the Discipline concerned. The order of starting can only be adjusted upwards (i.e. a Horse may only be moved back towards the beginning of the order of starting). At CN Status or CI Status events the Discipline Association and/or SAEF Representative shall approve any adjustment to the starting order.
- 02.9.1.v Should the number of entries in a competition make the provision of 02.9.1.iv hereof impracticable then, if the Athlete wishes, he/she shall be allowed a rest period as determined in the rules of the Discipline concerned between completing one ride/drive and commencing the next.

02.9.2 - COMBINED INDIVIDUAL/TEAM COMPETITIONS

- 02.9.2.i The Chef d'Equipe (where relevant) must declare the entries for the official team Competition and the names of the individual Athletes, by the time established by the Organising Committee in accordance with the Discipline specific rules and regulations.
- 02.9.2.ii The official start list must include the following information: name and nationality (where relevant) of the Athlete; name (and studbook where available), gender, colour and year of birth of Horse, name of Owner of the Horse, groom.

- 02.9.2.iii The provisions of 02.9.1 shall be complied with for the individual Athletes who may be interspersed between the team entries; provided that an Athlete shall always ride his/her team entry Horse before his/her individual entry Horse or Horses.

02.10.0 - RIDING OUT OF PROGRAMME ORDER

- 02.10.1 Other than with the permission of the President of the Ground Jury, Technical Delegate or the Discipline Association and/or SAEF Representative as the case may be, (which permission shall only be given in exceptional circumstances) no Horse may ride out of programme order at CN Status Events or CI Status Events. However, each Discipline Association may introduce, at Events below CN Status, such local rules as it deems fit, providing they are not in conflict with the Discipline rules, to cover the action taken regarding Athletes who arrive late for a Competition in which they are entered, and wish to ride out of programme order.
- 02.10.2 If there is more than one round, further participation will be determined by the relevant Discipline Rules.

02.11.0 - LOCAL RULES GOVERNING SAFETY OF COMPETITORS AND HORSES

- 02.11.1 Discipline Associations may introduce local rules imposing additional requirements governing safety of horses and competitors, provided that they are additional to any such SAEF requirements and do not derogate from SAEF Rules and also provided that such local rules shall only apply to Events below CN Status.

SECTION 03 – CEREMONIES, PRIZES AND EXPENSES

03.1.0 - PRIZE MONEY

- 03.1.1 It is not compulsory that prize money is paid at all Recognised Events, unless otherwise specifically stated in the Discipline specific rules. Where prize money is compulsory in terms of Discipline specific rules, the minimum amount shall never be less than that stated in these General Regulations.
- 03.1.2 At all -Recognised Events where prize money will be awarded, the prize money to be awarded shall be shown in the Schedule either as a lump sum and/or a percentage of the entry fees per level of Competitions. Entry fees in this context exclude any levy imposed but include any entry fees paid in respect of Hors Concours entries.
- 03.1.3 Prize money at Events holding CN Status and above shall never be less than 60% of the entry fees.
- 03.1.4 At events below CN Status the prize money shall never be less than 40% of the entry fees. It shall be specified in the Schedule how the 40% of the entry fees is to be allocated, i.e. per Competition, per group of Competitions or per entire Event.
- 03.1.5 Where the distribution is based other than on entries per class, under no circumstances shall the prize money for any one competition be less than 30% of the total entry fees for that Competition.
- 03.1.6 Where entry fees are composite per group of entries, the composite entry fee will be divided by the number of classes to establish the entry fee per class.
- 03.1.7 One prize shall be paid for every four starters or part thereof.
- 03.1.8 The exact allocation of prize money per place per Competition must be clearly stated in the official programme or starting lists.
- 03.1.9 Irrespective of the amount of prize money stated in the Schedule, the first prize shall never be in excess of $\frac{1}{3}$ (third) of the total prize money paid for that Competition unless there are less than four prizes awarded when the amount of the first prize shall not be more than twice that awarded to second place which in turn shall not be more than twice that awarded to third place.
- 03.1.10 The prize money for any place may not exceed twice that awarded to the next lower place.
- 03.1.11 On prior written application by a Provincial Discipline Association or Organising Committee, the Discipline Association in consultation with the Secretary General may grant permission for this Regulation to be varied. Such variation may only be granted for CN Status events.
- 03.1.12 Prize money shall be paid strictly as advertised within two weeks of the last day of the Events to those Athletes submitting banking details called for in the Schedule/Entry Form. Organising Committees failing to comply with this requirement will be subject to a penalty equal to 25% of the unpaid amount.

- 03.1.13 In respect of a CN Status Event / Class an amount, as determined from time to time by the Discipline Associations in consultation with the Secretary General shall be deducted from all prize monies, and shall be paid within two weeks of the last day of the Event to the Entity concerned for onward transmission to Discipline Associations and/or SAEF. Organising Committees failing to comply with this requirement will be subject to a penalty equal of 25% of the unpaid amount.
- 03.1.14 Sponsorship, as a financial "donation" towards prize money, is paid in additional to the predetermined 60% of entry fees, less the levies as stated in the Schedule.

03.2.0 - PRIZE GIVING CEREMONIES

- 03.2.1 The Organising Committee shall ensure that Athletes being called up to attend an official prize giving, do so mounted and correctly dressed, unless the Discipline specific rules provide otherwise or a mounted prize giving is not appropriate or allowed.
- 03.2.2 Any Athlete failing to comply with this Regulation, other than with permission of the Ground Jury shall automatically forfeit any prizes, prize money, rosettes, trophies and/or grading points for that Competition.
- 03.2.3 Prize giving ceremonies at Events holding CN or CI Status shall be limited to the first five placed Horses unless otherwise determined by the Organising Committee and stipulated in the Schedule. At all other events, Organising Committees may stipulate a maximum number of competitors to attend prize giving ceremonies provided that the number is stipulated in the Schedule.

SECTION 04 – COMMERCIAL AND SPONSORSHIP

04.1.0 - SPONSORSHIP AND ADVERTISING

- 04.1.1 An Athlete shall be deemed to be sponsored if the name of a firm, company, brand name, the name of a product or anything which, in the opinion of the Discipline Association concerned may be regarded as advertising:
- a) is part of the name of the Horse; OR
 - b) appears on a saddle cloth worn in competitive arenas; OR
 - c) appears on any item of wearing apparel worn by the Athlete in competitive arenas.
- 04.1.2 The use of a stud name as part of a Horse's name shall be deemed not to be advertising, but the use of a Club or Stable name shall be deemed to be advertising. The name of a stud may, however, only be added to the name of a Horse should that stud have bred that Horse.
- 04.1.3 If a change of name of a Horse, sponsor or owner occurs after the closing date of entries for an Event, it shall be permissible to announce and otherwise use the new name of the Horse or sponsor or owner. However, it shall not be necessary to amend in any way the programme or starting lists already compiled. Such a change of name shall be notified to the Organising Committee of the Event concerned. The new name may however not be used until the change has been registered with the SAEF.
- 04.1.4 The size of the name and/or logo of any sponsor/product appearing on a saddlecloth is restricted to 200 square centimetres on each side of the saddlecloth.

04.2.0 - ADVERTISING AND PUBLICITY ON ATHLETES AND HORSES

- 04.2.1 Athletes participating in an Event agree to be filmed, televised, photographed, identified, interviewed and otherwise recorded during the Event for the purposes of media coverage of the Event and the promotion of equestrian sport. Athletes therefore assign with full title guarantee in perpetuity to the SAAEF all rights of any nature in any such recordings. Athletes agree that their name and image and any photographs and moving images taken of them at an Event may be used by the SAEF and/or Organising Committee for the purposes of publicising the Event and promoting equestrian sport.
- 04.2.2 At all Events, except Regional and Olympic Games under the patronage of the IOC or SASCOC, Athletes may wear clothing and use equipment (including but not limited to riding equipment and vaulting back pads) and vehicles which identify (name and/or logo) the manufacturer, the Athlete's sponsors(s), the Athlete's team sponsor(s), the National Federation's sponsor, the Athlete's Nation, and/or the Athlete himself, but only under the specific conditions below:
- 04.2.2 Identification of the Manufacturer:**
- 04.2.2.i While present in the Competition area and during the prize-giving ceremonies the identification of the manufacturer of the clothing and equipment may appear only once per item and may appear on a surface area not exceeding:

- a) 3cm² for clothing and equipment;
- b) 50cm² on each side of carriages

04.2.2.ii If the manufacturers of clothing and equipment act as sponsors, the provisions under paragraph 04.1.0 of these Regulation apply.

04.2.3 Identification of Sponsors:

04.2.3.i While present in the Competition area and during the prize-giving ceremonies the name and/or logo of the individual's sponsor(s) and/or team sponsor(s) may appear on a surface area not exceeding:

- a) 400cm² on each side of carriages and vaulting back pads;
- b) 200cm² on each side of the saddle cloth;
- c) 80cm² only once on jackets or top garments at the height of breast pockets except during the endurance test of Eventing and Endurance Events;
- d) 80cm² on each of the two sides of jackets or top garments at the height of breast pockets for Jumping and Dressage Events;
- e) 100cm² only once on Vaulting outfits;
- f) 16cm² on both sides of the shirt collar;
- g) 200cm² on arm of top garment for the endurance tests of Eventing and Endurance Events.

04.2.3.ii OCs of National Championships may state in the schedule that such logos are not permitted in National / Individual National Classes, with the exception of the names and logos of the Team sponsors.

04.2.3.iii OCs of CIOs may state in the schedule that such logos are not permitted in Nations Cup classes, with the exception of the names and logos of the team sponsors.

04.2.3.iv For the Marathon Phase of Driving Events only, see the Discipline Rules for Driving.

04.2.3.v The OC may display the name and/or logo of a Competition and/or Event sponsor(s) on members of the arena party and on the numbers worn by Athletes and on Stable rugs while present in the Competition area and during the prize-giving ceremonies at all FEI and National Events. The size of the name and/or logo on the Athlete's number shall not exceed 100cm²

04.2.3.vi No advertisement or publicity other than logos defined in paragraph 1 above may be displayed on Any Athlete, Official, Horse or carriage while present in any Competition arena or during the performance. However, Athletes inspecting the course may wear the logo of their sponsor within a frame not exceeding 400cm² on the front and back of their top garments and within a frame not exceeding 50cm² on head gear.

- 04.2.3.vii Advertising may appear on obstacles and on the sides of the arena provided the TV agreement allows for it. Specifications for sponsored obstacles are covered in the Sport Rules for the relevant Discipline.
- 04.2.3.viii For the purposes of this Rule, the Competition area shall include all areas where the Athlete is being judged or his Horse is undergoing a Horse Inspection. It shall not include collecting rings, the "10 minute "box" in Eventing, the holds at the Veterinary gates in an Endurance Event or the compulsory rests in a Carriage Driving Events.

SECTION 05 – HORSES

05.1.0 - AGE OF HORSES AND REGISTRATION OF HORSES

- 05.1.1 The minimum age for horses to be entered in ridden competitions at Recognised Events shall be three (3) years.
- 05.1.2 Individual Disciplines may stipulate a different minimum age (but not less than three (3) years).
- 05.1.3 All Horses that participate in a Recognised and/or Sanctioned Event must be registered with the SAEF and the relevant Discipline Association. The SAEF and the Discipline Association shall not register a Horse for the first time unless the application for registration is accompanied by a certificate signed by a person who purports to be the legal owner stating the age and giving a full description of the Horse at the time of registration. It is not incumbent on the SAEF or the Discipline Association to verify such legal ownership, although the SAEF and/or the Discipline Association may refuse such registration if it is not satisfied for any reason that such person is the legal owner of the Horse. The SAEF and/or Discipline Association may require any proof of ownership if deemed necessary.
- 05.1.4 The following information shall be provided upon Registration:
- SAEF Passport Number
 - Name of the Horse as stated in the Passport
 - Microchip Number where available
 - Owner of the Horse
 - Sex
 - Breed
 - Country of Birth
 - Year of Birth – full date if available
 - Previous name in case of a name change
 - Name of Previous Owner
- 05.1.5 Athletes from outside the Republic of South Africa, if called upon to do so by the Organising Committee, shall be required to produce proof of compliance with this Regulation under penalty of disqualification from the event.

05.2.0 – HORSE PASSPORTS AND MICROCHIPS

- 05.2.1 Every Horse registered with the SAEF and a Discipline Association shall have an official FEI Group IX Passport as a means of identification, to establish the registered ownership and confirm the height classification.

- 05.2.2 All Passports shall include the full name, address and signature of the owner as registered by the Discipline Association and/or the SAEF. The description of the Horse (Identification page) and the diagram shall be accurately completed by a Registered Veterinarian, and the passport shall include a record of all vaccinations, Horse inspections and medication control sampling.
- 05.3.3 The SAEF is responsible for issuing passports for all Horses registered with them and for ensuring that the passports are correctly completed, as specified in the Veterinary Regulations, prior to being authenticated by the stamp of the SAEF and the signature of an official representing the SAEF. The SAEF shall retain a photocopy of the identification page and, if applicable, the "Horse Height" page of the passport. The Person Responsible for a Horse at an Event and/or Competition, is responsible for the accuracy of the passport and for presenting it at the Horse Inspection, except for Events on borrowed Horses, when this is the responsibility of the Organising Committee.
- 05.2.4 A Horse may only have one passport (other than an official FEI Passport). If the SAEF is satisfied that a passport has been lost, it may issue a new passport clearly marked "duplicate" and bearing the same number as the original passport. The SAEF and Discipline Association concerned shall record the issue of a duplicate passport.
- 05.2.5 At CN Status Events or CI Status Events, Organising Committees shall arrange for each Horse to be positively identified at the Horse Inspection. Any case of misleading or inaccurate information in a passport or if the Horse cannot be positively identified shall be reported to the Discipline Association and/or SAEF Representative who shall report the case to the Secretary General and the Discipline Association concerned giving the number of the passport and the name of the Horse.
- 05.2.6 If any Horse arrives at a Horse Inspection or an Event (Provincial, National or International) without a passport, or if the passport is inaccurate, that Horse shall not be allowed to compete unless the Veterinary Delegate in consultation with the President of the Ground Jury and the Discipline Association and/or SAEF Representative gives his/her approval or unless otherwise expressly provided in the Veterinary Regulations. Only in exceptional circumstances may a Horse without a passport be allowed to compete.
- 05.2.7 Fines for passport omissions or inaccuracies and/or inoculations not up to date are set out in the Veterinary Regulations.

05.3.0 - MEASUREMENT OF HORSES

- 05.3.1 All Horses entered in or intending to enter in Recognised Height Restricted Events shall be measured in accordance with the following regulations and shall have the height of the horse endorsed in the passport of the relevant Horse. A Horse without a height endorsed in the passport will not be permitted to enter Recognised Height Restricted Classes.
- 05.3.2 It is the responsibility of Owners or Athletes intending to enter a horse in Recognised Height Restricted Classes to have it measured by an Official Horse Measurer appointed by the Veterinary Committee or a FEI endorsed Measurer.

- 05.3.3 Prior to measuring a Horse, the Official Horse Measurer shall ensure that the Horse to be measured is the Horse resembling the description as set out in the Horse Passport. On completion of measurement, the Official Horse Measurer shall complete, date and sign the "Horse Height" page of the passport. The "Identification of the Horse Described in the Passport" Page in the passport must be completed to indicate the Horse concerned was correctly identified. A photocopy of the "Horse Height" page of the passport, bearing the passport number, must be lodged with the Discipline Association where the Horse is registered. Should the Owner or Athlete riding the horse enter a Height Restricted class /Event a copy of the Horse Height page should be submitted to the Organising Committee with the Entry form.
- 05.3.5 Horses shall be re-measured annually until the age of seven (7), where after the horse shall be remeasured upon request by a registered Panel Official(s) linked to a specific event.
- 05.3.6 Horses in possession of a "Life Height Certificate", endorsed prior to 1 August 2012, will retain the certificate if it is compliant with requirement such as the horse having been measured by two Official Measurers and such measurement certified by both measurers and endorsed in the passport. This certificate will not be valid when entering competition under full FEI Rules and Regulations.
- 05.3.7 Horses must be sound when trotted out in hand and must be measured standing on a level, solid surface. No allowance will be made for shoes. The top of the head of the horse can be in line with the withers when being measured.
- 05.3.8 Measurers are required to use a measuring stick shod with metal and with a spirit level incorporated into the cross bar. Measuring sticks should be calibrated.

05.4.0 - RE-MEASUREMENT OF HORSES

- 05.4.1 Discipline Associations have the right at their sole discretion to call for the re-measurement of any Horse who has not been measured in terms of Rule 05.3.3, provided at least seventy-two (72) hours' notice is given to the owner. The re-measurement shall be carried out by two Official Horse Measurers appointed for this purpose by the Provincial Discipline Entity concerned and shall be endorsed by both measurers in the Horse Passport.
- 05.4.2 In the event that any owner does not permit their horse to be re-measured as provided for in rule 05.4.1, except where Rule 05.3.6 applies, then that Horse will be barred from competing in any Recognised Height Restricted class until such time as the re-measurement has been carried out.

SECTION 06 - PROTECTION OF ATHLETES AND HORSES

06.1.0 - ABUSE OF HORSES

- 06.1.1 No person may abuse a Horse during an Event or at any other time. "Abuse" means an action or omission, which causes or is likely to cause pain or unnecessary discomfort to a Horse including without limitation any of the following:
1. To whip or beat a Horse excessively;
 2. To subject a Horse to any kind of electric shock devices;
 3. To use spurs excessively or persistently;
 4. To jab the Horse in the mouth with the bit or any other device;
 5. To compete using an exhausted, lame or injured Horse;
 6. To Rap a Horse;
 7. To abnormally sensitise or desensitise any part of a Horse;
 8. To leave a Horse without adequate food, drink or exercise;
 9. To use any device or equipment which cause excessive pain to a Horse upon knocking an obstacle;
 10. Any other infringement as described and identified by the SAEF and/or FEI and/or other International Federation;
 11. Only designated and areas supervised by a Steward may be used for schooling, warm-up and training of Horses at advertised times.
- 06.1.2 Discipline Associations will take the necessary steps to ensure that abuse of a Horses is a contravention and is provision for this is made in their constitutions, rules and regulations.
- 06.1.3 Discipline Associations will take reasonable steps to discipline the Registered Individuals who are guilty of any an abuse (save to the extent that such steps are taken under the SAEF or the FEI Rules and Regulations or another International Federation).
- 06.1.4 Any person witnessing an Abuse must report it in the form of a protest within 7 days. If an abuse is witnessed during or in direct connection with an Event, it should be reported as a protest to an Official at the Event. If the Abuse is witnessed at any other time, it should be reported as a protest to the Registered Individual's Discipline Association for referral to the Disciplinary Committee concerned.
- 06.1.5 Supporting evidence should accompany the protest in the form of video footage or additional witnesses where possible. The same format should apply for to all Recognised and/or Sanctioned Events.

06.2.0 – PROTECTION OF HORSES

- 06.2.1 In cases of illness or injury during an Event, the Ground Jury will decide, after consulting the Veterinary Delegate or Commission, whether the Horse may continue in that or subsequent Competitions.

06.3.0 - PROTECTION OF ATHLETES AND PARTICIPATING SUPPORT PERSONNEL

- 06.3.1 While riding anywhere at the Venue, and for Driving Athletes and grooms in the Marathon phase, the use of a properly fastened Protective Headgear is mandatory. Specific Discipline rules may provide for an exception to this rule by permitting Athletes to remove their Protective Headgear while accepting prizes, during the playing of the National Anthem and any other ceremonial protocol.
- 06.3.2 Failure to wear such Protective Headgear where and when required shall result in a Yellow Warning Card, being issued to the Athlete or Participating Support Personnel (as applicable) unless exceptional circumstances apply.
- 06.3.3 The use of cameras on Athletes or Participating Support Personnel (as applicable) or equipment (such as on Protective Headgear, head covering or carriage) shall not be permitted, unless otherwise specifically agreed by the SAEF, FEI (or other International Federation). An Athlete's decision to wear a camera while competing shall always be voluntary and at their own risk.
- 06.3.4 The Ground Jury after consultation with the responsible medical officer (or if not available with a relevant medical doctor and/or the Event medical service) may at any time exclude from further participation in a Competition or an entire Event any Athlete or Participating Support Personnel who is unfit to continue by reason of a serious or potentially serious injury, impairment or health conditions.

06.4.0 - MEDICATION CONTROL AND ANTI-DOPING

- 06.4.1 Subject to prior authorisation by SAEF and the Discipline Association on the advice of Drug Free Sport, the use of any Prohibited Substance by an Athlete is forbidden.
- 06.4.2 Subject to prior authorisation, any Athlete found to be in possession of a Prohibited Substance or using a Prohibited substance at an Event or Competition or evidence of the use thereof, is automatically disqualified from all Competitions at the Event. If the disqualified Athlete is a member of a team, the rest of the team is not automatically disqualified.
- 06.4.3 The FEI Equine Anti-Doping and Controlled Medication Regulations, rules and list of Prohibited Substances existing from time to time and laid down in the World Anti-Doping Code (WADA) and any or all annexes and modifications in the Anti-Doping Code for Human Athletes will apply, subject to modifications and/or limitations by any of the governing bodies/entities of the SAEF as may be published from time to time.
- 06.4.4 The Ground Jury, after consultation with the responsible Medical Officer, may at any time exclude from further participation in a Competition or an entire Event any Athlete who is unfit to continue by reason of a serious or potentially serious injury or health condition.
- 06.4.5 The Secretary General, President of the Ground Jury, the Discipline Association and/or SAEF Representative or Medical Officer in attendance, may order the sampling of any Athlete/combination during an Event or at any other time.

06.5.0 - MEDICATION CONTROL AND PROTECTION OF HORSES

- 06.5.1 The use of any substance or method which has the potential to harm the Horse or enhance its performance is forbidden. The precise rules concerning Prohibited Substances and Medication control are laid down in the Equine Anti-Doping and Medication Control Regulations (EADMCR) and the Veterinary Regulations.
- 06.5.2 The Decision as to whether a Horse may compete in an Event when under treatment or medication with a Prohibited Substance is made by the President of the Ground Jury on the recommendation of the Veterinary Delegate according to the procedures set out in the Veterinary Regulations.
- 06.5.3 In cases of illness or injury during an event the Ground Jury will decide, after consulting the Veterinary Delegate/Veterinary Commissions whether the horse may continue in that or subsequent Competitions of that Event.
- 06.5.4 The Secretary General, Veterinary Delegate, Discipline Association and/or SAEF Representative or President of the Ground Jury may order the sampling of horses during an Event.

06.6.0 - SPORTSMANSHIP– FEI Fair play document

- 06.6.1 No member, athlete, competitor, owner, official or person responsible shall contravene at any event the common principles of behaviour, fairness and standards of sportsmanship which are acceptable to the reasonable horseman; it includes, but is not limited to the following:
- Any action resulting in an unfair advantage to the offender.
 - Any action resulting in a material disadvantage to any other person or entity involved.
 - Any action involving the maltreatment of horses.
 - Any action involving the impairment of the dignity or integrity of any person involved in the sport.
 - Any action involving fraud, violence or maltreatment or criminal acts at or relating to an event.
 - The CODE OF CONDUCT and CONFLICT OF INTEREST document must be signed by all Athletes, owners and officials registered with the SAEF and/or a Discipline.

06.7.0 - TREATMENT OF A HORSE PRIOR TO AN EVENT

- 06.7.1 During a period of 14 days prior to the first day of an Event, a prohibited substance may only be administered to a competing Horse by a Registered Veterinarian, who must, in respect of the treatment administered, complete the "Declaration of Veterinary Treatment" from. (See Appendix GR/E)

06.8.0 - TESTING LABORATORY

06.8.1 NHA (NATIONAL HORSERIDING AUTHORITY) TESTING LABORATORY ACCEPTED FOR ALL SAEF EQUESTRIAN CODES

- 06.8.1.i In the interest of our sport and to ensure we adhere to the Code of Clean Sport it is important to ensure the testing laboratory used is accredited with acknowledgement of the correct facilities when testing for Prohibited (banned and/or controlled) Substances.
- 06.8.1.ii The NHA Laboratory is the accepted testing laboratory for all SAEF Equestrian Disciplines in South Africa.
- 06.8.1.iii All results received from the NHA Laboratory for the testing of Prohibited (banned and/or controlled) substances will be deemed valid, unless disputed.

SECTION 07 – EVENT OFFICIALS

07.1.0 – INTRODUCTION

- 07.1.1 The SAEF and the Discipline Associations maintains a list of all qualified Officials who fulfil the requirements of the Discipline or Veterinary Education System and are endorsed by the Discipline. A list of Officials includes, but is not limited to: Judges, Stewards, Course Designers, Technical Delegates, Veterinarians and Welfare Officers.
- 07.1.2 The education and career pathways of Officials are laid down in the Discipline or Veterinary Education system. The qualifications and duties of an Official are specified in the Education Systems, job descriptions, Veterinary Regulations and specific Discipline rules and regulations.
- 07.1.3 An Official is considered to be foreign if he/she is of a different nationality than that of the country in which the Event is taking place.
- 07.1.4 This section relates to the generic rules on the roles, functions and appointment process of Officials at an Event. Reference must be made to the specific Discipline rules and regulations; where this is a conflict between these Regulations and the specific Discipline rules relating to Officials, the specific Discipline rules and regulations shall take precedence.

07.2.0 – JUDGE

- 07.2.1 A Judge, as President of the Ground Jury, reports to the Discipline Association (or SAEF) and has the responsibility to ensure the technical running of Competitions and/or Events according to the conditions of the approved Schedule and in accordance with the Discipline specific rules and regulations. A Judge, as a Member of the Ground Jury, reports to the President of the Ground Jury and shares the responsibility for the technical running of Competitions and/or Events.
- 07.2.2 The appointments of Judges are regulated by the Discipline specific rules and regulations.
- 07.2.3 The Discipline specific rules and regulations specify the qualifications required to be a member or the President of the Ground Jury at different categories of Events and/or Competitions.

07.3.0 - STEWARDS

- 07.3.1 Organising Committees of CI Status Events and CN Status Events shall appoint a Chief Steward and an appropriate number of Stewards under his/her authority, wearing distinctive badges/ arm bands or clothing, with complete freedom of access to all areas at the Venue if they have the correct accreditation.
- 07.3.2 Organising Committees are responsible for all administrative matters relating to the appointment of the Chief Steward and *all other appointed Stewards*.

- 07.3.3 During the whole Event, in every part of the stables, in exercise and schooling areas, collecting rings and all other areas under the control of the Organising Committee, the Chief Steward and the Stewards officiating with him/her at the Event shall:
1. assist the Athletes in conducting reasonable training,
 2. intervene to prevent any abuse of a Horse by Athletes, grooms, owners or any other person,
 3. intervene to prevent any contravention of the Discipline, SAEF and/or FEI Rules and Regulations of the common principles of behaviour, fairness and accepted standards of sportsmanship.
 4. be familiar and assist with medication control procedures.
- 07.3.4 One steward must be on duty at the practise arena and/or the collecting ring whenever it is in use.
- 07.3.5 Any irregularities must be reported immediately, by the Chief Steward to the President of the Ground Jury.
- 07.3.6 The Chief Steward is required to send a report to the Discipline Association and Secretary General within two weeks of the end of the Event, on the stewarding of the Competitions and Event as a whole and on any incident, which occurs during that Event. Copies of the report shall be given to the Organising Committee.
- 07.3.7 The Chief Steward shall be a person experienced in equestrian sports and qualified, particularly in the Discipline of the Event where he/she is appointed to officiate. The name of the appointed Chief Steward must be published in the Schedule and in the programme of the Event.
- 07.3.8 The appointment of Stewards is regulated by the Discipline specific rules and regulations. The Discipline specific rules and regulations will specify the qualifications required to be a Chief Steward or a Steward at different categories of Events.

07.4.0 – COURSE DESIGNER

- 07.4.1 A Course Designer reports to the Technical Delegate – if one is appointed – or the President of the Ground Jury and is responsible for designing attractive and result oriented Competitions under the conditions of fair sport and welfare of the Horse and Athletes in accordance with the Discipline specific rules and regulations. An Assistant Course Designer, if one is appointed, assist the Course Designer at Events and/or Competitions by taking over specific activities for planning and setting up the courses.
- 07.4.2 The appointment of Course Designers are regulated by the Discipline specific rules and regulations. These Discipline specific rules and regulations also specify the qualifications required to be a Course Designer at different categories of Events and/or Competitions.

07.5.0 – TECHNICAL DELEGATE AND FOREIGN JUDGE

- 07.5.1 The Technical Delegate, where appointed, reports to the Discipline Association and is responsible for the inspection of the arenas, courses (if applicable), equipment, results management system, the facilities and the organisations and running of Events and/or Competitions, in accordance with the Discipline specific rules and regulations.
- 07.5.2 The Technical Delegate is required to send a report to the Discipline Association (and SAEF in the case of a CN Event) at the conclusion of the Event.
- 07.5.3 If a Technical Delegate is not appointed, the Foreign Judge (in the case of a CI Event) or the President of the Ground Jury (for CN Events and other Recognised and / or Sanctioned Events) is responsible for the inspection of the arenas, courses (if applicable), equipment and results management system to ensure that the technical facilities, requirements and the organisation and running of the Event are in accordance with the Discipline specific rules and regulations.
- 07.5.4 The Foreign Judge (or President of the Ground Jury) or the Technical Delegate has the authority to interpret the Discipline specific rules and regulations to ensure that they are enforced.
- 07.5.5 The appointment and qualifications of the Technical Delegate is regulated and provided for by the Discipline specific rules and regulations.
- 07.5.6 A Foreign Judge is required to be appointed for certain International Competitions and/or Events according to the Discipline specific rules and regulations.

07.6.0 – VETERINARIANS

- 07.6.1 A Veterinarian can have different functions and responsibilities according to the Veterinary Regulations.
- 07.6.2 Official Veterinarians are required to send a report to the FEI (for International Events) and the Discipline Association (and/or SAEF for CN Events) on the Competitions and on the organisation of the Event as a whole as set forth in the Veterinary Regulations.
- 07.6.3 The appointment of Veterinarians is regulated by the Veterinary Regulations.

07.7.0 - OFFICIAL DISCIPLINE ASSOCIATION AND/OR SAEF REPRESENTATIVES

- 07.7.1 A Discipline Association Representative (or in the event of Showing, Driving or Eventing - the Technical Delegate) shall be appointed for each CN Status Event by the Discipline Association. Other than for multi-discipline events (e.g. the Junior and Pony Riders' Championships, the Adult, Junior and Pony Rider Derby meeting), the appointment will be on the recommendation of the Organising Committee of the Event subject to the approval of the Discipline Association concerned.
- 07.7.2 For multi discipline Events, the Secretary General, in consultation with the Discipline Associations concerned, will appoint a SAEF Representative.

- 07.7.3 Other than for Multi Discipline Events, such Representative must be knowledgeable in the rules of the Discipline concerned and must be a member of a Senior Officials Panel. The Representative may be a member of the Ground Jury, but not the President where the Discipline rules allow the appointment. In the absence of the Discipline Association and/or SAEF Representative the most senior Panel Official present at the event, but not officiating, shall act in his/her place.
- 07.7.4 Where necessary for multi discipline events, the senior SAEF Representative may request the appointment of assistant Discipline Representatives. However, the Senior SAEF Representative will retain the ultimate responsibility.
- 07.7.5 Provincial Discipline Associations shall appoint a suitably qualified Representative to be present during all Recognised Events affiliated to them. In the absence of the Provincial Representative the most senior official officiating at the Event shall act in his/her place.
- 07.7.6 Representatives shall be responsible to and shall report to the Entity by whom they were appointed. It is their duty to call to the attention of the Organising Committee and/or any relevant official any breach of the rules and to ensure that they be rectified.
- 07.7.7 An Athlete's Representative must be appointed for all levels of Competition and for all Events.
- 07.7.8 The duties and responsibilities of the Representative are set out in Appendix GR/C.

07.8.0 – STATUS AND LIABILITY OF OFFICIALS

- 07.8.1 All Officials acting at or in relation to an International Event are acting on behalf of the FEI (or other relevant International Federation) and therefore are not liable financially or otherwise for any acts, omissions or Decisions undertaken in good faith in connection with their duties. This applies to all Officials at a CN Event and other Recognised/Sanctioned Events, i.e. the Officials are acting on behalf of the SAEF and/or the Discipline Association.
- 07.8.2 All SAEF and Discipline Officials acknowledge and confirm that they will provide their contact details to the SAEF and Discipline Associations and that such details may be shared with relevant third parties and Organising Committees.
- 07.8.3 An Official is not eligible to participate in a Recognised/Sanctioned Event if he/she has participated, in the six (6) months prior to the first day of the Event in question, in an Unsanctioned Event.
- 07.8.4 For the purposes of this Regulations, an Unsanctioned Event is an Event and/or Competition that is not published in the Official Calendar and/or is not authorised by the SAEF and/or Discipline Association.
- 07.8.5 Officials are bound by these General Regulations, the Veterinary Regulations and the Discipline specific rules and regulations while officiating and/or representing the SAEF and/or Discipline Association. Officials are bound by the Official's Code of Conduct, Conflict of Interest Policy and the Code of Conduct for the Welfare of the Horse.

SECTION 08 - THE LEGAL SYSTEM

08.1.0 - INTRODUCTION

08.1.1 The Legal System provides for the following:

- 08.1.1.i The legal powers and responsibilities of Officials and Entities appointed under the Constitution, these General Regulations and Discipline specific rules and regulations;
 - 08.1.1.ii A scale of Sanctions;
 - 08.1.1.iii A procedure for lodging Protests against the actions or behaviour of individuals or any entities under the jurisdiction of the SAEF;
 - 08.1.1.iv A process of Appeal to a higher entity against Decisions taken or Sanctions imposed by any person or entity acting under the Constitution, these General Regulations and/or Discipline specific rules and regulations.
- 08.1.2 All Athletes, Owners, Support Personnel and any other person taking part in or benefiting from SAEF activities, accept this Legal System and its dispute resolution mechanisms (which include an Appeal to the Court of Arbitration for Sport as final and binding arbitration) as a condition precedent to participation in SAEF activities and/or to receiving any benefit from SAEF activities.

08.2.0 – STATUTE OF LIMITATIONS

08.2.1 There is a statute of limitation on prosecution by the SAEF, which is time-barred after:

- 08.2.1.i one (1) year for offences committed on the field of play or in its immediate vicinity;
- 08.2.1.ii five (5) years for all other offences;
- 08.2.1.iii ten (10) years for doping offences; and
- 08.2.1.iv match-fixing, bribery and corruption and offences falling under the SAEF Safeguarding Policy against Harassment and Abuse are not subject to a statute of limitations.

08.2.2 The statute of limitations for violation of the ADRHA and the EADCM Rules are set out in these respective Rules.

08.3.0 - GROUND JURY DUTIES

- 08.3.1 The Ground Jury deals with all protests in the first instance within the meaning of Regulation 08.6.0 provided that they relate to matters occurring during or in direct connection with an Event and that they are presented within the Period of the Event.
- 08.3.2 The Ground Jury shall be responsible for signing of the final result(s) of the relevant Competition, unless specified otherwise in the Discipline specific rules and regulations.

- 08.3.3 The Ground Jury must be available throughout the Event as defined in "Period of an Event" which corresponds to its period of jurisdiction.
- 08.3.4 In Protests involving veterinary matters during Events, the President of the Veterinary Commission or the Veterinary Delegate at an Event is invited to join the Ground Jury in an advisory capacity.
- 08.3.5 The Ground Jury may impose the following Sanctions:
 - 08.3.5.i A Warning;
 - 08.3.5.ii A Yellow Warning Card;
 - 08.3.5.iii A fine of a maximum of R10 000;
 - 08.3.5.iv Elimination and/or Disqualification of an Athlete(s) and/or Horse(s) from a Competition(s) and/or from an Event.

08.4.0 – JUDICIAL COMMISSION

- 08.4.1 The competence of the Judicial Commission is defined in these General Regulations and the Internal Regulations of the Judicial Commission.
- 08.4.2 The Judicial Commission may impose the following penalties, or, where appropriate, delegate to the Secretary General or the SAEF Legal Department/Portfolio the ability to do so;
 - 08.4.2.i A Warning
 - 08.4.2.ii A fine in an amount commensurate with the gravity of the violation and, where applicable, in accordance with the fine schedule associated with the rule violated;
 - 08.4.2.iii Disqualification of Athlete(s) and/or Horse(s) from Competitions or from Events;
 - 08.4.2.iv Suspension of an entity for any period
 - 08.4.2.v Suspension of individuals and Horses for any period up to Suspension for life;
 - 08.4.2.vi A Provisional Suspension or such other interim relief or conservatory measures as it sees fit pending its final determination of the matter and/or
 - 08.4.2.vii Any applicable sentence which will be fair and just in the relevant circumstances.
- 08.4.3 Appeals to the Judicial Commission must be accompanied by a deposit to the SAEF of R2000.
- 08.4.4 Any person who has not paid any fines as imposed by the Judicial Commission or the SAEF Headquarters, and/or amounts due to the SAEF or has not complied with a requirement to repay/return prize money/prizes to an Organising Committee within thirty (30) days of receiving a demand for payment/repayment/return will be automatically suspended until the relevant amount is paid/repaid/returned in full.

08.5.0 - COURT OF ARBITRATION FOR SPORT (CAS)

- 08.5.1 The CAS has the power to impose the same scale of penalties as the Judicial Commission.

- 08.5.2 The CAS may impose more severe penalties than those imposed in the first instance, provided they are within the limits of the penalty jurisdiction of the entity from which the Appeal to the CAS is brought.

08.6.0 - PROTESTS

General Principles

- 08.6.1 Protests may be lodged against any person or entity involved in any capacity in a Recognised and/or Sanctioned Event or otherwise subject to the jurisdiction of the SAEF including for failure to observe the Constitution, these General Regulations or Discipline specific rules and regulations or violation of the common principles of behaviour, fairness, or accepted standards of sportsmanship, whether occurring during or in connection with a Recognised and/or Sanctioned Event or, in the case of Abuse of Horse, at any other time.

Decisions not subject to Protest

- 08.6.2 There is no Protest against:
- 08.6.2.i Decisions of the Ground Jury arising from the field of play, which are final and binding, such as, but not limited to:
- (a) where the Decision is based on a factual observation of performance during a Competition or the awarding of marks for performance;
 - (b) whether an obstacle was knocked down; whether a Horse was disobedient; whether a Horse refused at an obstacle or knocked it down while jumping;
 - (c) whether an Athlete or Horse has fallen;
 - (d) whether a Horse circled in a combination or refused or ran out;
 - (e) the time taken for the round;
 - (f) whether an obstacle was jumped within the time and/or
 - (g) whether, the particular track followed by an Athlete caused him/hr to incur a penalty under the specific Discipline rules.
- 08.6.2.ii The Elimination or Disqualification of a Horse for veterinary reasons, including non-acceptance of a Horse at a Horse Inspection unless otherwise specified;
- 08.6.2.iii The Elimination or Disqualification of an Athlete for medical reasons/fitness to compete;
- 08.6.2.iv The imposition of a Warning without additional sanctions or of a Yellow Warning Card, and Eventing Recorded Warning or an Incorrect Behaviour Card.

Protest Deadlines and Status of Decision

- 08.6.4 In order for a Protests to be validly filed, the following deadline must be complied with regardless of whether the person filing the Protest is on-site at the Venue at the relevant Competition or Event or not:

- 08.6.4.i Protests concerning the eligibility of an Athlete or Horse for a specific Event or Competition - such Protests must be filed thirty (30) minutes before the start of the relevant Competition;
- 08.6.4.ii Protests concerning the condition of the Arena – such Protests must be filed thirty (30) minutes before the start of the relevant Competition;
- 08.6.4.iii Protests concerning an obstacle, or the plan or length of the course for a Jumping Competition or the obstacle Phase of a Driving Competition - such Protests must be filed fifteen (15) minutes before the start of the Competition;
- 08.6.4.iv Protests concerning the cross-country obstacles or courses in Eventing or marathon course or obstacles in Driving, or the course in Endurance - such Protests must be filed no later than 18:00 on the day before the relevant Competition in accordance with the relevant Discipline rules;
- 08.6.4.v Protests concerning irregularities or incidents during a Competition - such Protests must be filed thirty (30) minutes after the announcement of the results of the relevant Competition;
- 08.6.4.vi Protests concerning the results of the Competition – such Protests must be filed thirty (30) minutes after the announcement of the results of the relevant Competition;
- 08.6.4.vii Protests challenging the procedures followed in the application or implementation of any Discipline rules - such Protests must be filed thirty (30) minutes after the notification of the application or implementation of such rule.

Authority to File Protests

- 08.6.5 Protests may only be lodged by the SAEF (Secretary General); the Presidents of National Discipline Associations, Officials, Chefs d'Equipe or, if there is no Chef d'Equipe, by a Person Responsible or a Team Veterinarian responsible for Horses taking part in the Event.
- 08.6.6 Protests for abuse of Horses may be lodged by any person or entity.

Procedure for Filing a Protest

- 08.6.7 All Protests must be in writing, signed by an authorised person within the meaning of 08.6.5 and must be accompanied by any supporting evidence, including the names of witnesses (if any). It is the responsibility of the Organising Committee and/or Disciplinary Association to ensure that Protests forms are available at the Venue or online.
- 08.6.8 Protests to the Ground Jury must be lodged/presented within the applicable time limit to the President of the Ground Jury or to any member of the Ground Jury if the President is not available together with the necessary deposit.

Deposit

- 08.6.8 A deposit of R1000 must be paid on site in order for the Ground Jury to have jurisdiction to consider the Protest.
- 08.6.9 No deposit is required for Protests claiming Horse Abuse of any nature.
- 08.6.10 If the Protest is successful, the deposit will be refunded.
- 08.6.11 If the Protest is dismissed, the deposit will not be refunded.

Protests Review by Ground Jury

- 08.6.12 Before reaching a Decision on any Protests, the Ground Jury must:
- 08.6.12.i consider if it has jurisdiction or not;
 - 08.6.12.ii examine the available evidence, whether written or oral;
 - 08.6.12.iii hear all the parties concerned where appropriate under the rule (and provided they are available);
 - 08.6.12.iv take into account all relevant material, in every case trying to reach a fair and equitable Decision.
- 08.6.13 In Protests involving veterinary matters during Events the President of the Veterinary Committee or the Veterinary Delegate at an Event may provide advice to the Ground Jury.
- 08.6.14 The Ground Jury may request the advice and assistance of a representative of the SAEF in order to ensure the correct Protest procedures, as set out in these Regulations, are complied with.

Jurisdiction

- 08.6.15 Where one party asserts that the Ground Jury does not have jurisdiction and/or the Ground Jury itself questions its jurisdiction, the Ground Jury must consider the arguments for and against jurisdiction and render a Decision on jurisdiction prior to considering the merits of the Protest. If the Ground Jury decides that it does not have jurisdiction, it shall not proceed to consider or comment on the merits of the Protest.

Use of Video Evidence

- 08.6.16 The use of all technical assistance available, including but not limited to, official video recordings (an official video recording is considered to be a recording made by a broadcast network or a video company hired by the Organising Committee, except where specified otherwise in the relevant Discipline rules) is permitted to assist Officials in carrying out their responsibilities under these General Regulations and Discipline specific rules.
- 08.6.17 For a video recording to be accepted under these Regulations for the purposes of a Protest, it must be presented within the required time period as set out in 08.6.4 above.

- 08.6.18 If the Ground Jury relies on video evidence to alter the outcome of any Competition after the results have been communicated, such video recording must contain irrefutable evidence that the original ruling or Decision was incorrect. The use of video shall always be within the confines of the applicable rules and must never by its usage alter the rules currently in effect.

Communication of Decision

- 08.6.19 Where possible, Decisions must be notified in writing to the parties concerned.

Appeal

- 08.6.20 Decisions of the Ground Jury arising from a Protest may be appealed to the Judicial Commission according to the process set out in the Regulation 08.7.0 below. Decisions covered by Regulation 08.6.2 are final and may not be appealed to the Judicial Commission.

General

- 08.6.21 Protests regarding matters which have not occurred during or in direct connection with a Recognised Event, and which were not known until after the end of the Event, shall be lodged with the Judicial Commission through the Secretary General. Such Protests shall be filed no later than fourteen (14) days after the end of the relevant Event. A case shall be deemed to occur in direct connection with an Event if it occurs during the journey towards the Event or, after arrival, including during the period of quarantine, training or acclimatisation.
- 08.6.22 Notwithstanding anything to the contrary, the Secretary General may, in special circumstances which – in his/her sole discretion – warrant a Decision, refer to the Judicial Commission a Protest against any person or entity made by any person or entity or on his/her own initiative, at any time, in regard to any matter and without the payment of a deposit.
- 08.6.11 Any person lodging a Protest should, if possible, secure witnesses to the incident and any other form of evidence, and either brings them to the entity before which the Protest is lodged, or obtain written statements from them, duly signed, together with their names and addresses.
- 08.6.12 The Foreign Judge, Technical Delegate, Veterinary Delegate and Foreign Veterinary Delegate must report to the Discipline Association and the Secretary General all acts or omission constituting a Protest.

08.7.0 - APPEALS

General Principles

- 08.7.1 An Appeal may be lodged by any person or entity with a legitimate interest against any Decision made by any person or entity authorised under the Constitution, these General Regulations or Discipline specific rules and regulations, provided it is admissible:

- 08.7.1.i with the Judicial Commission against Decisions by the Ground Jury or any other person or entity;
- 08.7.1.ii with the CAS against Decisions by the Judicial Commission. The person or entity lodging such Appeal shall inform the Secretary General.

Admissibility of Appeals

08.7.2 An Appeal is not admissible:

- 08.7.2.i Against Decisions by the Ground Jury in cases covered by Regulation 08.6.2;
- 08.7.2.ii Against Decisions of the Judicial Commission on Appeals arising from Decisions made by the Ground Jury.

Process for Filing and Appeal against a Ground Jury Decision

- 08.7.3 Appeals to the Judicial Commission against a decision of the Ground Jury arising from a Protests must be in writing, signed and accompanied by supporting evidence in writing or by the presence of one or more witnesses and must be lodged/filed with the Judicial Commission no later than fourteen (14) days after the end of the Event.
- 08.7.4 A deposit to the SAEF of R2000 must be paid in order for the Appeal to be admissible.

Process for Filing an Appeal to CAS

- 08.7.5 Appeals to the CAS together with supporting documents must be dispatched to the CAS Secretariat pursuant to the Procedural Rules of the CAS Code of Sports-related Arbitration so as to reach the CAS within twenty-one (21) days of the date on which the notification of the Judicial Commission's Decision was sent to the Person Responsible.
- 08.7.6 Cross appeals and other subsequent appeals by any respondent named in cases brought to CAS under the SAEF Rules are specifically permitted. Any party with a right to appeal to CAS must file a cross appeal or subsequent appeal within 21 days with its answer.

08.8.0 – DISCIPLINARY PROCEEDINGS

- 08.8.1 The SAEF may, in its sole discretion, open a disciplinary case against a person or persons for any alleged breach of the SAEF Rules and Regulations and/or any of the offences listed in Regulation 08.9.13 below.
- 08.8.2 As a general principle, all disciplinary cases involving non – Minor offences will be initiated by the SAEF as a "Claim" before the Judicial Commission in accordance with the Internal Regulations of the Judicial Commission.
- 08.8.3 An offence shall be considered "Minor" if the SAEF, having carried out an assessment of the facts and relevant evidence, deems that any of the following sanctions, or any combination of those sanctions, would be appropriate given the circumstances:
 - 08.8.3.i formal warning;
 - 08.8.3.ii fine not exceeding R10 000;

- 08.8.3.iii provisional suspension;
- 08.8.3.iv suspension not exceeding three (3) months;
- 08.8.3.v demotion of Official (following consultation with the relevant Discipline Association);
- 08.8.3.vi removal of an Official from a specific Event.

Administrative Disciplinary Procedure

- 08.8.4 Minor offences shall be prosecuted according to the procedure set out below (the "Administrative Disciplinary Procedure").
- 08.8.5 Where a person is accused of committing a Minor offence, the SAEF will notify him/her via a "Minor Offence Notice of Charge" which shall set out the following information
 - 08.8.5.i all relevant details of the alleged offence;
 - 08.8.5.ii the Minor Sanction(s) proposed by the SAEF;
 - 08.8.5.iii information on how to elect to have the case processed via the Administrative Disciplinary Procedure.
- 08.8.6 The accused person may either:
 - 08.8.6.i admit the offence and accept the proposed Minor Sanction; or
 - 08.8.6.ii deny the offence and elect to have their case dealt with under the Administrative Disciplinary Procedure by confirming this in writing to the SAEF no later than fourteen (14) days after receiving the Minor Offence Notice of Charge. The SAEF may extend this deadline.
- 08.8.7 If the accused person does not provide the above confirmation within the applicable deadline or otherwise informs the SAEF that he/she does not want to have their case processed under the Administrative Disciplinary Procedure, the SAEF will submit the case to the Judicial Commission for adjudication in accordance with the Internal Regulations of the Judicial Commission. Once the case has been submitted to the Judicial Commission, the offence shall no longer be considered "Minor". If, following the disciplinary proceedings before the Judicial Commission, the Judicial Commission upholds the charge and finds that the accused person has committed the offence in question, the Judicial Commission shall impose a higher Sanction(s) than those provided for in the list of Minor Sanctions.
- 08.8.8 Where a person elects to have their case dealt with under the Administrative Disciplinary Procedure, he/she shall be granted a right to be heard, by way of a hearing (via video or teleconference) and/or by way of a written submission prior to the SAEF issuing its Decision.
- 08.8.9 After the accused person has exercised his/her right to be heard (or where he/she has waived such right) he/she will be notified in writing of the SAEF's Decision. Decision under the Administrative Disciplinary Procedure will be taken by SAEF Headquarters, acting through the SAEF Legal Portfolio.
- 08.8.10 Decisions issued under the Administrative Disciplinary Procedure may be appealed to the Judicial Commission. In the related appeal proceedings, if the SAEF's original Decision is

upheld and the appeal is dismissed the Judicial Commission shall impose a higher Sanction(s) than those provided for in the list of Minor Sanctions.

- 08.8.11 Notwithstanding the foregoing, in urgent cases the SAEF may impose an immediate Provisional Suspension via the Minor Offence Notice of Charge. The Provisional Suspension will remain in effect until such time as the SAEF Headquarters or the Judicial Commission (as the case may be) issues its Decision or unless and until the Provisional Suspension is lifted in accordance with 08.8.12 below.
- 08.8.12 Where an immediate Provisional Suspension is imposed via the Minor Offence Notice of Charge, the suspended person may file an application to the Judicial Commission to have the Provisional Suspension lifted.

08.9.0 – SANCTIONS

Types of Sanctions

- 08.9.1 The Sanction(s) imposed in any given case can consist of any of the Sanctions set out in Regulations 08.9.3 to 08.9.12 below. The level of the Sanction shall be decided according to the following guidelines (in 08.9.2) and to the circumstances of the case.
- 08.9.2 In deciding on the appropriate sanctions to be imposed and whether to categorise the offence in question as “low-end”, “mid-range”, “top end” or “max”, the following factors shall be taken into consideration, together with any other relevant factors:
- 08.9.2.i Whether the action or omission resulted in an unfair advantage to the offender or an Athlete;
 - 08.9.2.ii Whether the action or omission resulted in a material disadvantage to any other person or entity involved;
 - 08.9.2.iii Whether the action or omission involved the maltreatment of Horses;
 - 08.9.2.iv Whether the action or omission affected the dignity or integrity of any person involved in the sport;
 - 08.9.2.v Whether the action or omission involved fraud, violence or abuse or similar criminal acts;
 - 08.9.2.vi Whether the action or omission was deemed to be deliberate.

08.9.3 – Warning

An oral or written warning is appropriate in cases of minor violations committed unintentionally and without significant consequences. In the Discipline, Eventing, a Recorded Warning may be issued for certain rule violations. If the same Person Responsible receives three Recorded Warning within a two (2) year period, the Person Responsible shall be automatically suspended for a period of two (2) years after official notification from, or on behalf of the SAEF Secretary General.

08.9.4 – Yellow Warning Card

- 08.9.4.i A Yellow Warning Card may be issued to a Person Responsible by the President of the Ground Jury, the Chief Steward or the Technical Delegate during the Period of the Event for the following offences:
- (a) Abuse of Horse;
 - (b) Incorrect Behaviour by the Person Responsible and/or Incorrect Behaviour by a member of their entourage. For the purposes of this Regulation, the term "entourage" shall mean the Person Responsible's parent, spouse or partner, family member, coach, trainer, groom, crew or other person directly connected with the Person Responsible and includes the owner(s) of the Person Responsible's Horse;
 - (c) Non-compliance with applicable Discipline rules;
 - (d) Non-compliance with Protective Headgear Rules.
- 08.9.4.ii The Yellow Warning Card may be delivered either by hand or by any other suitable means. If after reasonable efforts the Person Responsible cannot be notified during the Period of the Event that he/she has received a Yellow Warning Card, the Person Responsible must be notified in writing within fourteen (14) days of the Event.
- 08.9.4.iii A Yellow Warning Card may be issued in addition to any other Sanction(s) that may be issue in accordance with these General Regulations and/or the Discipline specific rules.
- 08.9.4.iv If the same Person Responsible receives one (1) or more Yellow Warning Card(s) at the same or any other Recognised and/or Sanctioned Event within one year of the delivery of the first Yellow Warning Card, the Person Responsible shall be automatically suspended for a period of two (2) months after official notification from, or on behalf of, the SAEF Secretary General.

08.9.5 – Incorrect Behaviour Card

In the Discipline, Endurance, the President of the Ground Jury, the Chief Steward or the Technical Delegate may issue an Incorrect Behaviour Card to an Athlete or Trainer for Incorrect Behaviour. An Incorrect Behaviour Card will result in 100 penalty points, Disqualification and a suspension of two (2) months.

08.9.6 - Fine

- 08.9.6.i A fine is appropriate particularly in cases where the offender has acted negligently.
- 08.9.6.ii All fines imposed under this Legal System are due to the SAEF. They must not be paid to the Organising Committee or any other entity but must be paid to the SAEF on receipt of a demand.
- 08.9.6.iii Where a fine is imposed on a Registered Individual, the SAEF may issue the corresponding invoice to either that individual directly or to the individual's Discipline Association, who shall be responsible to ensure the payment of the fine within the relevant deadline.

- 08.9.6.iv Any person who has not paid a fine within thirty (30) days of receiving a demand for payment will be automatically suspended until the fine is paid in full. If fines are not paid within ninety (90) days from the date of issue, interest will automatically be charged at the end of each month at the rate of 10% per annum. If fines are inadvertently paid to the Organising Committee or any other person such fines shall be remitted to the SAEF.

08.9.7 – Disqualification

- 08.9.7.i Disqualification is appropriate when it is specified in the Constitution, these General Regulations or Discipline specific rules, or if the circumstances require an immediate action. Disqualification from the Competition or Event may be retroactive.
- 08.9.7.ii Disqualification from a Competition means that the Athlete and/or Horse(s) concerned – even should they change ownership – are removed from the list of starters and the classification and includes the forfeiture of prize money won in that particular Competition.
- 08.9.7.iii Disqualification from an Event means that the Athlete and/or Horse(s) – even should they change ownership – may take no further part in that Event and it may include the forfeiture of any prize money won in previous Competitions at that Event where provided for in the Constitution, General Regulations or Discipline specific rules.

08.9.8 – Suspension

- 08.9.8.i During the period of a Suspension the person, Horse or entity suspended may take no part in Competitions or Events as an Athlete, Horse or Official or in the organisation of any Event under the jurisdiction of the SAEF or any Event under the jurisdiction of a Discipline Association in accordance with the Constitution or any SAEF related activity.
- 08.9.8.ii If so specified in the relevant Notification / Decision, the person may be barred temporarily or for a specific period of time from participating in or attending, in any capacity, including as a spectator, any Competition or Event that is authorised or organised by the SAEF or Discipline Association.
- 08.9.8.iii The Suspension may be provisional or final and may be imposed on such terms and subject to conditions as the Judicial Commission, the SAEF Secretary General, as the case may be, may impose. In certain, a Provisional or Final suspension may be automatic under the Constitution, these General Regulations and/or Discipline specific rules and regulations.
- 08.9.8.iv As a general principle, a Suspension will start as of the date of notification of the Suspension. The entity imposing or applying the Suspension may postpone the start date of the Suspension in order to ensure the effectiveness of the Suspension.

08.9.9 – Removal of an Official from an Event**08.9.10 – Removal of an Official from the list of Officials****08.9.11 – Demotion of an Official****08.9.12 - Sanctions under the EADCM Regulations and the Anti-Doping Rules for Human Athletes**

Notwithstanding anything to the contrary indicated above, the sanctions provided for in the EADCM Regulations and the Anti-Doping Rules for Human Athletes will be applicable in cases falling under such rules and Regulations.

08.9.13 - Offences

In addition to breaches of specific provisions of the SAEF Rules and Regulations, the following is a list of other offences that the SAEF may sanction:

- 08.9.13.i incorrect behaviour;
- 08.9.13.ii abuse of Horse;
- 08.9.13.iii acts defined as criminal by the national law;
- 08.9.13.iv fraud of any kind;
- 08.9.13.v violence;
- 08.9.13.vi failure to cooperate with an investigation undertaken by, or on behalf of, the SAEF;
- 08.9.13.vii conduct that bring the SAEF and/or equestrian sport into disrepute, i.e. conduct that causes the public opinion of the SAEF and/or equestrian sport to be diminished;
- 08.9.13.viii breach of the SAEF Code of Ethics;
- 08.9.13.ix breach of the SAEF Code of Conduct on the Welfare of the Horse;
- 08.9.13.x breach of the SAEF Officials Code of Conduct;
- 08.9.13.xi breach of the SAEF Safeguarding Policy against Harassment and Abuse.

General Sanctioning Principles and Table of Sanctions

08.9.14 The following table sets out the sanctions that will apply for certain offences listed in Regulations 08.9.13 above. Where an offence is not listed in the table below, general sanctioning power will apply, and sanctions may be imposed in accordance with this Regulation.

Offence	Low-end	Mid-range	Top-end	Max
Incorrect Behaviour	2 months	Up to 6 months	Up to 1 year	2 years
	Up to R15 000	R15 000 to R30 000	R30 000 – R70 000	R100 000
Abuse of Horse	3 months	Up to 2 years	From 2 to 5 years	Life
	R10 000 to R15 000	R20 000 to R30 000	R50 000 to R100 000	R150 000
Criminal acts, Fraud and Violence	1 month	Up to 2 years	From 2 to 5 years	Life
	R10 000 to R15 000	R20 000 to R30 000	R50 000 to R70 000	R100 000
Failure to cooperate with an investigation	1 month	Up to 6 months	Up to 9 months	1 year
	Up to R15 000	R15 000 to R30 000	R30 000 to R70 000	R100 000
Bringing the SAEF and/or equestrian sport into disrepute	1 month	Up to 6 months	Up to 9 months	1 year
	Up to R15 000	R15 000 to R30 000	R30 000 to R70 000	R100 000
Breach of any of the SAEF Codes	Warning	Up to 2 years	Up to 5 years	Life
	R10 000 to R15 000	R20 000 to R30 000	R50 000 to R100 000	R150 000
Breach of the SAEF Safeguarding Policy	Warning	Up to 2 years	Up to 5 years	Life
	R10 000 to R15 000	R20 000 to R30 000	R50 000 to R100 000	R150 000

08.10.0 - TIME OF IMPLEMENTATION OF DECISIONS

Decisions are effective from the date of oral or written notification to the affected party or parties, so long as such notification is possible under the circumstances. Otherwise, Decisions are effective as of the date specified by the entity or person authorised to make the Decision.

08.11.0 – REPORTS, RECORDING PROTEST AND PENALTIES

Officials' Reports

- 08.11.1 Officials are required to send Reports to the relevant Discipline Association or Veterinary Committee (in the case of Veterinary Reports) at the conclusion of Events, in accordance with these General Regulations and the applicable Discipline rules. Matters giving rise to Protests must be included in such Reports.
- 08.11.2 Where an Official has failed to return the Report within the designated time and without a valid reason, the Official shall be sanctioned by the SAEF, first with a Warning and then by a Suspension until the outstanding Report(s) has been provided to the SAEF or such other Sanction as the SAEF deems appropriate in the circumstances.

Recording of Protests and Sanctions by Officials

- 08.11.3 The Foreign Judge (Technical Delegate) or President of the Ground Jury must include in his/her report to the SAEF all Reports and Protests received by the Ground Jury as well as all Decisions awarded and Sanctions imposed by the Ground Jury on these and other relevant matters.

Recording by SAEF Headquarters

- 08.11.4 The Secretary General or his/her designee shall be responsible for:

- 08.11.4.i Recording delivery of Yellow Warning Cards and notifying any suspension thereof;
- 08.11.4.ii Recording proceedings of the Judicial Commission and Decisions of the CAS
- 08.11.4.iii Notifying the Decisions of these bodies, including the dates on which they become effective, to the parties concerned
- 08.11.4.iv Publication of all Decisions which he/she believes warrant publication, or which have to be published
- 08.11.4.v Processing reports from Event Officials

SECTION 09 - REGULATIONS APPLICABLE TO ALL SOUTH AFRICAN CHAMPIONSHIPS

(This section is to be read in conjunction with the Discipline specific rules for the Discipline's National Individual and Team Championships)

09.1.0 - CLASSIFICATION

- 09.1.1 All National Championships of the approved and listed Disciplines are classed as CN Status Events.

09.2.0 - ALLOCATION

- 09.2.1 Each National Championship shall be held only once in any one calendar year.
- 09.2.2 No National Championship shall be allocated unless the Discipline Association and SAEF are satisfied as to the standards upheld at the Event.
- 09.2.3 South African Championships, as far as possible and appropriate, shall be allocated to each Province where the relevant Discipline is active, in turn and a roster detailing this allocation for the following calendar year shall be accepted by each Provincial Discipline Association concerned and shall be approved at the Discipline's Annual General or Ordinary Meeting.
- 09.2.4 If for any reason, a Provincial Discipline Association is unable to stage a Championship, which it has accepted in terms of the above paragraph, then that entity shall be subject to a fine, the amount of which shall be determined by the SAEF. In the case of an Infectious (Notified) infectious disease (e.g. AHS) preventing Horses from travelling to a particular area/province, alternate arrangements shall be made to accommodate the hosting of the Event where possible and no fine shall be imposed.
- 09.2.4 In the case that a Championship shall be offered to the Provincial Discipline Association, which in terms of the roster is due to stage the Championship the following year, the Provincial Discipline Association relinquishing the championship shall then be moved to the end of the roster.
- 09.2.5 Should the Provincial Discipline Association due to stage the Championship the following year be unable to accept the bringing forward of their allocated year on the roster, it shall advise the Secretary General to that effect, but shall not lose its place on the roster. Under such circumstances the SAEF shall make suitable arrangements for the staging of the Championship, and any Provincial Discipline Association then agreeing to stage the Championship shall not lose its already agreed date on the roster.
- 09.2.6 Should two Provincial Discipline Associations, which in terms of the roster are due to stage a Championship in successive years agree to exchange those years, this may be done by both Bodies advising the Discipline Association and the Secretary General, in writing, of their decision.

- 09.2.7 The SAEF may, after consulting Provincial Discipline Associations, approve the allocation of a Championship to a Provincial Discipline Association for a continuous number of years.
- 09.2.8 Whenever possible, and at the discretion of SAEF in consultation with all Disciplines concerned, all Junior Championships should be held at one Event, and all Pony Riders Championships should be held at one Event.

09.3.0 - APPLICATION

- 09.3.1 The Organising Committee of any Recognised and/or Sanction Event may apply to their Provincial Discipline Association for permission to stage a National Championship allocated to that Provincial Discipline Association.
- 09.3.2 A Provincial Discipline Entity, having been allocated a National Championship shall be responsible for recommending to the Discipline Association and SAEF at which Recognised Event it shall be staged, and such recommendation shall be accompanied by a statement giving:
- Name of Event
 - Address of the Organising Committee
 - Period of Event
 - Proposed prize money and/or other awards offered for the Championship and the Event
 - The position that the Championship would occupy on the programme
- 09.3.3 The Discipline Association, in consultation with SAEF's decision as to the Venue for a National Championship shall be final and the Discipline Association and SAEF shall satisfy itself that a National Championship is held at Venues with the following facilities:
- Satisfactory stabling
 - Adequate spectator and catering facilities
 - Adequate facilities for competitors and grooms
 - Adequate equipment necessary to hold competitors of championship standard and to satisfy the Sponsors requirements with regard to venue choice and suitable dates, programme presentation, organisation, production etc.
- 09.3.4 A National Championship shall not be advertised or staged until the Organising Committee of the Event at which it is to be staged has received permission in writing from the Discipline Association and the SAEF.

09.4.0 - REQUIREMENTS

- 09.4.1 The Organising Committee concerned shall send copies of the approved schedule to the Secretary General and to all Provincial Discipline Associations at least one (1) month before the closing date of entries.
- 09.4.2 The Schedule and programme/catalogue shall state clearly that the Championship is being staged with the permission of the Discipline Association and the SAEF.

09.5.0 - QUALIFICATION OF COMPETITORS, OWNER AND HORSES

- 09.5.1 Qualification of Athletes and Horses shall be in terms of Discipline specific rules and regulations.

09.6.0 - FLOATING TROPHIES

- 09.6.1 All Championship floating trophies shall remain the property of Discipline Association and shall not be handed over to the winners of a championship other than at the prize giving for the taking of photographs (unless with permission of the Discipline Association).
- 09.6.2 The Provincial Discipline Association under whose auspices a Championship is hosted shall be responsible for the return of floating trophies to the Discipline Association as soon as possible after the event. (See Duties of the SAEF Rep - GR/E)

09.7.0 - MEDALS

- 09.7.1 Gold Medals shall be provided by the Discipline Association and shall be awarded to:
1. First prize winners in all Individual National Championships; and
 2. The competing members of the winning Team of all National Team Championships.

09.8.0 - ENTRIES

- 09.8.1 The closing date for entries shall be the same as that applicable to the other Competitions at the Event.

09.9.0 - ENTRY FORMS

- 09.9.1 The entry forms (whether online or manual) shall comply with the provisions of these General Regulations.

09.10.0 TEAM ENTRIES

- 09.11.1 All team entries, selection and substitutions shall be done in accordance with the Discipline specific rules and regulations.

SECTION 10 – GENERAL PROVISIONS

10.1.0 – PERSONAL DATA

- 10.1.1 All personal data submitted by Athletes, Trainers, teams, Chefs d'Equipe, grooms, Discipline Associations, Owners and/or other persons participating in Events under these General Regulations will be processed in accordance with applicable data protection laws. All persons bound by these General Regulations acknowledge and agree that personal data is processed by the SAEF and Discipline Associations for the purposes of administering Events, enforcing these Regulations (and other applicable Rules and Regulations) and maintaining the integrity of equestrian sport.

10.2.0 - AMENDMENTS

- 10.2.1 These General Regulations may be changed at any time on the recommendation of any one of the approved Discipline Associations or the Judicial Commission and must be approved by a simple majority of the Exco and ratified by the National Council at the next General Meeting.

APPENDIX GR/A – RULE CHANGES

The various rules and regulations of the SAEF and/or Disciplines shall be changed and or amended as the need may arise as determined by each and every specific Discipline in accordance with its Rules and/or Constitution. This may include the issuing of Directives.

APPENDIX GR/B

PROTEA COLOURS POLICY - SASCOC



NATIONAL SPORTS COLOURS REGULATIONS

PREAMBLE

To introduce means aimed at regulating the award of national colours preventing the misuse or abuse of national colours; and generally to promote sport in South Africa through the effective control of national colours; for that purpose to:

1. Prohibit certain acts in relation to national colours;
2. Create offences and prescribe penalties in relation thereto;
3. Provide for the establishment of a national colours board;
4. Confer upon the board the necessary powers to regulate the award of national colours and to promote and protect the national colours from abuse or misuse;
4. Provide rules and procedures applicable to award of national colours;
5. Provide a code of conduct;
6. Provide for incidental matters.

SCHEDULE

Definitions

1. In these regulations, unless the context otherwise indicates;

"amateur" means a sportsperson who is an amateur in terms of the rules of the international body controlling the particular sport in accordance with the rules of the national controlling body;

"Board" means the Board referred to in Regulation 2;

"code" means the code for the award of national colours adopted by the South African Sports Commission and its respective constituent members and as amended from time to time;

"SASC" means the South African Sports Commission;

"executive committee" means the executive of the Board for the time being, as referred to in Regulation 6;

"NCB" means the National Colours Board;

"Minister" means the Minister responsible for Sport and Recreation in South Africa;

"national controlling body" means that body which administers or controls any sport at the national level and whose members are appointed by affiliated provincial or other constituent sporting association;

"national sports colours" means any or more of the following:

- (i) the name, title or designation Protea;
- (ii) the word Protea used as a badge or emblem or part thereof on including formal and informal clothing including sports clothing, replica or anything related to South African sport;
- (iii) the colours green, gold and white used as part of a prescribed uniform or dress in connection with sport;
- (iv) any name, badge, uniform, emblem, device, trademark, design or similar matter in respect over which the Commission has any rights, whether by virtue of registration or otherwise;
- (v) "national team(s)"- means a team of one or more sportsmen and or sportswomen selected in terms of an approved selection process to represent South Africa, in a sporting contest involving national team of another nation or other nation;
- (vi) "test match"-means a contest in sport between national teams of two or more nations, held in terms of the rules and regulations of the international controlling body of such sport.
- (vii) "Participation apparel" – means match or contest clothing, apparel, uniform, kit or step-out apparel

"secretary" – means the person appointed as such in terms of regulation 4(2) (C);

"sport" – means any form of activity or activities which require(s) a significant level of aerobic or anaerobic involvement and in which participants engage in either a structured or unstructured environment for the purpose of declaring a winner or simply for relaxation, personal enjoyment, satisfaction, physical health, emotional growth and development.

Establishment of the National Colours Board

3. A National Colours Board is hereby established. [Section 11 Act 110 of 1998]

Objects of the Board

(3). The objects of the board are:

- (i) to introduce a standard code for the award of national colours;

- (ii) to prevent any misuse or abuse of national colours;
- (iii) to make provision for the resolution of disputes related to awards of national colours;
- (iv) generally, to promote sport in South Africa, through the usage and effective control of the national colours;
- (viii) to ratify or refuse to ratify the award of national colours to a person nominated therefore;
- (ix) to adjudicate in any matter referred to it;
- (x) to promote the image, standing and repute of national colours nationally and internationally;
- (xi) to co-operate and liaise closely with persons, bodies, local authorities and Government departments concerned with the advancement of sport on a national and international level;
- (xii) to advise the SASC on the use of national colours by any person, body or local authority by way of advertising or as a trademark, or in connection with goods or services, or in any other manner on such terms and conditions as it may see fit;
- (xiii) to monitor and maintain records of all awards of national colours.

4. Functions, powers and duties of the Board

- (1) The functions of the Board shall be to achieve its objects with the means at its disposal, and for the purpose of achieving those objects the Board may:
 - (a) act as an advisory and supervisory body of the SASC with regard to all matters affecting national colours, the control and use thereof, and to make rules in regard thereto;
 - (b) to prevent any abuse or misuse of national colours and to protect same against any infringement or limitation;
 - (c) to determine whether any activity falls within the ambit of the concept of sport as is envisaged in the code and in the event in which sportspersons participate in international event;
 - (d) to take disciplinary or legal action against any association, body or individual (or to ensure that the appropriate controlling body does so) as a result of any conduct, action, or statement which, in the opinion of the Board or any disciplinary sub-committee appointed for that purpose, is considered to be in breach of the code, or be an abuse or misuse of the emblem, or to bring the emblem into disrepute;
 - (e) to take such action or steps as may be necessary or desirable to achieve the foregoing and to co-operate and liaise with any government department or any authority to that end;
 - (f) to maintain full and complete records of all awards of national colours both past (to the extent that this is possible) and in future;
 - (g) to do whatever it deemed necessary or expedient in order to fulfil the purpose and objects for which the Board is established.

5. Control over and management of affairs of the Board

- (1) The affairs of the Board shall be managed by the SASC, which shall determine the policy and objectives of the Board and shall exercise control generally over the performance of its functions, the exercise of its powers, and the execution of its duties.
- (2) The Board shall consist of -
 - (a) a chairperson appointed by the SASC after consultation with the Board;
 - (b) no fewer than four but not more than seven other members, which shall include non-elected members appointed by the SASC and;
 - (c) the secretary, who shall be the Chief Executive Officer of the SASC, or his or her nominee, who shall serve on the Board by virtue of his or her office.
- (3) A member of the Board, excluding the secretary, shall hold office for a period not exceeding three (3) years but shall be eligible for re-appointment.
- (4) The chairperson and members of the Board shall be persons who have achieved distinction in sport or who have special knowledge or experience in relation to some respect of the Board's functions.
- (5) The chairperson, or in his or her absence, a member of the Board elected by the members present, shall preside at any meeting of the Board.

6. Meetings of Board

- (1) The meetings of the Board shall be held at such times and places as the Board may determine.
- (2) The chairperson may at any time call a special meeting of the Board, which shall be held at such time and place as he or she may direct.
- (3) The quorum for a meeting of the Board shall be a majority of its members.
- (4) A decision of the Board shall be taken by the resolution of the majority of the members present at any meeting of the Board and, in the event of an equality votes of any matter, the person presiding at the meeting in question shall have a casting vote in addition to his or her deliberative vote as a member of the Board.
- (5) Resolution of in terms of 6(4) above shall have a binding effect to all members.

7. Ownership of intellectual property in national colours

The rights in all national colours and emblem shall vest in the SASC which shall make such national colours available for use in the public interest subject to such conditions and the payment of such fees or royalties as the Board may determine in writing, subject to such arrangements as may be

agreed in writing between the Commission and the national federations for payment of such fees and royalties.

8. The Delegation of Functions and Powers

- (1) The Board may-
 - (a) delegate to the chairperson, secretary or other employees or members of the Board any power conferred upon the Board on such conditions as the Board may determine in writing; or
 - (b) authorize the chairperson, secretary or any other member or employee of the SASC to perform any duty assigned to the Board by or under these regulations.
- (2) The secretary may:
 - (a) delegate to an employee of the SASC any power conferred upon the secretary by or under these Regulations; and
 - (b) authorize that employee to perform any duty assigned to the secretary by or under these Regulations.
- (3) Any delegation under sub-regulation (1) or (2) does not prohibit the exercise of a power in question by the Board or the secretary, as the case may be.

9. Recognition of awarded colours

- (1) If at the commencement of these regulations any matter has not been disposed of by the SASC or a committee thereof entrusted with the awarding of national colours, the Board may continue with the disposal of the matter in accordance with the provisions of these regulations, and anything done in connection with that matter shall be deemed to have been done by the Board.
- (2) Any national colours awarded by the relevant bodies prior to the commencement of these regulations shall continue to enjoy recognition, as though awarded in terms of these Regulations.

10. Offences

- (1) A person may not:
 - (a) without the necessary authority, use the national colours or any colours confusingly or deceptively similar thereto in the course of trade or otherwise;
 - (b) project himself or herself as representing South Africa in a sporting authority without written consent from the SASC;
 - (c) unlawfully and without the authority of the Board misrepresent that he or she is entitled to wear national colours;

- (d) commit any act or causes the commission thereof, which brings the national colours into disrepute.
- (2) If an offence is alleged to have been committed, the Board may proceed in terms of section 4(1) (d) of these regulations.

11. Penalties

Any person convicted of an offence referred to in article 10 shall be punishable with a fine not exceeding R25 000,00 (Twenty-five thousand Rands).

12. Eligibility rules and procedures

- (1) National colours shall be awarded to members of national federations and macro bodies who are members of the General Assembly and are recognised as such by the Commission.
- (2) Subject to 12(1) above may only apply as such only for sportsmen and sportswomen who represent South Africa in international competitions of the required nature and standard as recommended by the national federation and macro body and approved by the Commission.

13. Applicable conditions

Fair selection process

- (1) Provided that national trials and an approved selection process were held or conducted in order to select such national teams and that fair and equal opportunities were provided for every participant that qualified, to participate in such trials.
- (2) A selection process shall be deemed unfair and inequitable if:
 - i. qualifying players are excluded from such national team on the basis of them not being able to finance their participation in the national team;
 - ii. qualifying players are excluded from such national team(s) on the ground of their religion, colour or creed.

Participation as requirement

- (3) Colours will be awarded specifically to the players or athletes who actually play or participate as a member of a representative team and to the official reserves, if merited and as determined by the technical rules and regulations of the NF concerned and its international federation.

Authority to award national colours and duty of protection

- (4) The authority to award national colours to any individual vests with the National Colours Board, acting on recommendation from the national federations concerned.

- (5) Notwithstanding 13(4) above, all national federations have a duty to ensure the prestige of national colours and to protect them against abuse.

First time applicant's policy submission

- (6) The Commission and the Board do not act as selectors but will at all times require proof of the standard and nature of the particular international competition, details about the selection panel, and the method employed regarding the selection process. It is required from a NF applying for the first time for the award of national colours, to submit to the Board its policy with regards to the award of FEDERATION and NATIONAL COLOURS (JUNIOR AND SENIOR).

Exception to the rule

- (7) The supporting or non-competing and managerial component of a national team or individual athletes will not be awarded national colours, except for managers and coaches. In the case of large teams or in the case of multi-faceted codes of sport and sport for the disabled, a motivated request may be submitted to the Board for the award of colours also to assistant managers and/or assistant coaches.

Specific exclusion

- (8) Other members of the support/management team such as medical staff, physiotherapists, trainers, heads of delegation, media representatives, skippers, etc. do not qualify for national colours. In the event of multi-coded sports events, i.e. teams representing the country in events such as the Commonwealth, All Africa Games, Olympic, World, Paralympic and Deaflympic Games, an exception is made regarding the award of colours also to the Chef-de-Mission, and to such other selected officials as the Board may from time to time decide.

Nationality

- (9) National colours will only be considered for sportsmen and sportswomen who can submit proof that they are South African nationals, i.e. they must be in possession of a valid South African passport. Athletes with South African resident permits only are not eligible. This rule does not apply to managers and coaches.

Junior National Colours

- (10) A category for "junior" colours has been instituted for those codes of sport who can substantiate that in the international rules of their particular discipline, provision is made for e.g. bona-fide junior world championships, junior continental championships, test matches, etc. A maximum age, which differs from sport to sport, is usually prescribed. Junior Protea colours are not intended for "age-group" competitions and such colours shall have the designation "Junior" underneath the prescribed insignia.
- (11) The applicable international rules must be lodged with the Commission and should form part of the POLICY document referred to in article 13(4) above. All other eligibility regulations as described herein for senior colours, are also applicable to the award of junior colours.

14. Procedures

Application forms

- (1) The official application form annexed herein as annexure "A" for the award of national colours is obtainable from the SASC's offices.
- (2) Only applications properly completed will be considered. All applications for national colours should be submitted within (30) thirty days of the event for which national colours are applied.

Certification and ratification

- (3) Application forms must be completed even if the athletes in question have previously received colours. After the award of colours has been ratified, the names of the recipients are entered onto the central National Colours Register (data base). Certificates for recipients of national colours are issued by the SASC.

Documentary proof of international status

- (5) Documentation providing the necessary proof of the status of the international event in question and confirming the invitation by the host country/organisation, must accompany the application. The Board may, at its own discretion, consult the host country (or event organiser) in order to ascertain the status of the particular event.

Written confirmation

- (6) The applicant national federation will be advised in writing of the outcome of its application for the award of national colours. In all instances the decision of the Board will be final.

Purchasing

- (7) Relevant insignia and material can be purchased directly from the approved official suppliers. Silk screening or any other form of reproduction of the official badge or emblem on not-for-sale items required by NF's e.g. tog bags, shirts, caps, T-shirts, pins or on leisure clothing, etc. or for reproduction on commemorative/special award medals/trophies, must be approved by the SASC to ensure quality control.

Code specification

- (8) Applicants must clearly indicate the preferred code of sport identification that will appear on the badge. The words COACH and/or MANAGER will appear under the name of the sport where applicable.
- (9) Upon the awarding of national colours, the national federation concerned shall inscribe, beneath the national emblem, the name of the sport in which the person who has been awarded the national colours will participate in. In the case of a manager and/or coach, the words manager and/or coach (as the case might be) shall also be inscribed.

Hand-over of national colours

- (10) In order to afford the necessary status to the award of national colours, it is strongly recommended that national federations arrange a proper handover function to which a delegated representative of the Commission or NCB member may be invited in order to hand over the national colours prior to the participation of the athletes. At this occasion, the national federation in question must also hand to all recipients of national colours, a copy of the federations' Code of Conduct in order to ensure that team members behave in a manner that suits their status when accepting national colours. A copy of the code must also be filed with the Commission.

15. Licensing and merchandising

- (1) The SASC may appoint a licensing agent to handles all aspects relating to the licensing and merchandising of the national emblem and enter inter alia into agreements with national federations or persons regarding the use of the emblem on merchandise.
- (2) No person or organisation including members of the Commission, are entitled to the use of the national emblem in whatever form without written approval of the Commission.
- (3) The Commission will provide the official colour specifications to national federations for them to present to their sponsors, manufacturers and or suppliers. These manufacturers and suppliers will have to supply such items through national federations and/or the SASC.
- (4) All national participation apparel must be approved by the SASC.

16. National federation colours

- (1) National federations (NF's) are entitled to their own colours, emblems and insignia which they award and use as they please. This also applies to NOCSA, DISSA, SACGA, AAG, SASSU and USSASA. "Federation" colours can be used in the case of invitation matches, "B"- and Veteran (Master)- sides, "Development" teams competing against other countries in friendly matches honorary/long service awards, etc.
- (2) No national federation is allowed to use the Protea, or any combination thereof as NF's colours or in a manner similar to that of the two marks as used for purposes of awarding national colours.
- (3) National federations are allowed to incorporate in their designs the Protea, and a combination thereof, provided that such inclusion will not demean the national symbol, nor create an impression that such a design is in fact a national symbol.
- (4) "National colours" are reserved for international participation only, i.e. not for domestic competitions and as such are reserved for the very best athletes representing South Africa in competitions of the highest level (continental and world championships, or "test matches" against fully representative teams or individuals from another country/countries. National colours can be awarded in the form of colours (the badge is embroidered in gold on green background).

- (5) The national badge consists of the logo with the wording SOUTH AFRICA appearing under it followed by the name of the code of sport, e.g. Athletics. The only other wording allowed on the badge is the designation "MANAGER" /"Assistant Manager" and/or "COACH"/ Assistant Coach. In the case of multi-coded teams, the additional wording e.g. "COMMONWEALTH GAMES 1998" will also appear on the badge.

17. Competition Apparel or Battledress for National Teams

- (1) The approved colour combination for national teams is GREEN, GOLD and. WHITE
- (2) NF's must adhere strictly to the approved colour combinations, being predominantly green for home games, and predominantly white for away games, but only in the event of colour clash with the opposition team apparel. NF's may deviate from this only in cases where, in a code of sport, a specific colour uniform is mandatory (e.g. test cricket) and then only through written approval from the Commission.
- (3) The national badge referred to above (being the King Protea) must be visibly displayed in the apparel on the chest on the left-hand side. The SASC is aware of the expectations of sponsors for the display of their logos on uniforms. To this extent, the technical sponsor logos may appear on the right side of the competition apparel but may not exceed the size of the national badge. The minimum acceptable size of the national badge at any given time on the apparel must be 7cm in length and 6,5 cm wide, excluding the designation.

18. Code of conduct

- (1) In accepting the national colours as the sports insignia of South Africa, sportsmen and sportswomen, their coaches, managers and other officials involved in the participation of South Africa in the international sports arena accept to conduct themselves at all times in a manner that will benefit and do credit to the insignia under which they have been chosen to represent their sport. They also agree to do the same for the Commission as the custodian of the National Colours and finally their country, in whose name they participate.
- (2) In order to ensure that recipients of national colours behave in a manner that suits their status, the Commission expects from a national federation to set its own Code of Conduct to which its representative athletes and officials adhere; after all, a representative side or the individual participants are ambassadors of that particular code of sport. A representative sports group must be furnished with a copy of such a code when they accept the national colours in which they will compete. It is furthermore the duty of the management to ensure that everyone concerned strictly adheres to such a code and to introduce fitting disciplinary measures if, as, and when necessary.
- (3) Apart from the above, the Commission itself has the responsibility to ensure that South African representative sports groups are a credit to the country and at all times worthy ambassadors while representing the high ideals that the Commission had set for sports participation. Whilst there is due regard for the fact that representative sports teams vary in make-up, that there are certain set traditions in others, or that young athletes need to be treated differently from adult participants, there are certain generally acceptable and basic norms that need to be upheld at all times.

- (4) The following guidelines have been compiled by the Commission to serve as broad criteria for all those involved in representative participation in order to ensure basic uniformity, group unity, discipline and a friendly and comfortable disposition within the group or team. An appeal is made to all those involved (national federations, office bearers, officials/tour management, participants, and coaches) to observe their Code of Conduct at all times. In the interest of South Africa and of sport in general, it is vital that the conduct of those representing the country will at all times be above reproach. If anyone should infringe the Code, disciplinary steps in the discretion of the national federation and the Board may be taken against such a person. It is important to note that under special circumstances, the Board reserves the right to withdraw national colours awarded at the time for good cause.
- (5) Travelling abroad is often a new experience for some. There are different customs and attitudes to adapt to, language barriers to overcome, media to face, and many more. National federations must ensure that team management consists of seasoned and responsible adults who can provide the necessary guidance to young and/or inexperienced team members.
- (6) International participation is the end result of long hours of hard training, of sacrifices and of absolute dedication. Only the very best in a sport can achieve this level. To represent one's country is a singular honour reserved for a selected few and the ultimate honour would be to be adjudicated the victor. At all times however and without exception, this honour must only be bestowed on him or her who competed fairly. Under no circumstances ever must such a victory, or for that matter the mere participation in an event, be enhanced due to unfair methods being employed be it by cheating, unsportsmanlike behaviour, or worse, by employing methods (like doping) to enhance one's performance, thus providing an unfair advantage over fellow competitors.
- (7) It is the unconditional view of the Commission that indulgence in the use of alcohol and tobacco is not part of the make-up of the serious sportsman or sportswoman. Officials in charge of participants have a particular responsibility in this regard to set the right example.
- (8) Acceptable behaviour has many facets, all of them important for the ambassador of his or her country to adhere to. It will include-
 - i. when to use the official dress of the team: At departure and arrival on aircraft, at official receptions, etc;
 - ii. the sportswear, leisure wear, competition gear of participants and officials;
 - iii. the neat appearance of team members at all times;
 - iv. general conduct: Language, rowdy behaviour (even when celebrating a victory), singing of vulgar songs, etc;
 - v. respect for fellow travellers on aircraft, in public places, at hotels, towards staff or hosts, for public and other people's property; and
 - vi. good table manners, and respect for speakers at officials' receptions.

19. Short Title

These Regulations shall be called the National Sports Colours Regulations, 2001.



SOUTH AFRICAN EQUESTRIAN FEDERATION SELECTION POLICY

1. Notes for Associations

- a. For every event where South Africa is represented, a 'NOTICE OF TEAM SELECTION' document must be completed and circulated to all the members of the applicable Discipline Association.
- b. Any event held internationally with South African Participation or within the boundaries of South Africa must be approved by the South African Equestrian Federation BEFORE the selection process starts. Once all processes are complete, then the South African Equestrian Federation will seek ratification from SASCOC.
- c. All submissions for National Colours must be submitted on the correct forms provided by SASCOC to the SAEF TIMEOUSLY along with the following supporting documentation:
 - i. The completed published 'NOTICE OF TEAM SELECTION' for selection of the event.
 - ii. The team selected along with documentation supporting the athlete's eligibility requirements
 - iii. The process used for selection along with all results, or rankings signed off Chief Selector and the president of the Association.
 - iv. The list of PROPOSED OFFICIALS with a motivation as to why that official will go. The official will be ratified by SAEF subject to criteria stipulated by the SAEF.
 - v. A tour itinerary or event details showing all details of the competition.
 - vi. Satisfy the SAEF through documentary evidence that the team competing against South Africa is a team selected at the highest level and endorsed as such by that countries Federation.
 - vii. The use of the South African flag, the colours of the National team, the positioning of the national emblem and the use of sponsor's logos on all kit are determined by the SAEF. All kit designs must be submitted to the SAEF before the team kit is purchased for approval. Details follow on page

- d. All Participants, officials and administrators who participate in International or local events where South Africa is represented must agree to abide by the following rules and sign their declaration before participation:
 - i. The SAEF Code of Conduct as well as the SAEF Code of Good behaviour
 - ii. The SAEF policy on the welfare of the horse
 - iii. The SAEF policy on doping of horses, the administration of drugs and the use of banned substances.
 - e. No association, nor individual may represent any endorsed SAEF team if:
 - i. They are in bad standing with their Association, the SAEF or SASCOC
 - ii. They are involved in any disciplinary process or action against them by their Association, the SAEF or SASCOC which has not been concluded.
 - f. Subject to the Constitution of South Africa, and in circumstances where there is a need for urgent relief of a sort which cannot be obtained through dispute resolution procedures, no entity, Association or individual falling under the jurisdiction of the SAEF shall approach a Court of Law to decide on a dispute it has with the selection process or individual affiliated to the SAEF.
2. Eligibility requirements of athletes to represent South Africa:
- a. The individual must be a South African Citizen and be a South African Passport Holder
 - b. That each athlete has competed in their Association's National Championships in the prior year of selection.
 - c. The athlete must have not had any disciplinary action pending against him at the time of selection or during the selection process.
3. In any International competition, the international rules of the discipline shall take precedence over local rules, except in the case where horse welfare or the safety of the athlete is compromised.
4. The following events do not attract Protea Colours:
- a. Olympic games
 - b. Commonwealth Games
 - c. All Africa games
 - d. Asian Games
 - e. Riding as a South African overseas without having gone through a selection process
5. The following events allow for Protea colours to be awarded:
- a. An individual who through a selection process represents South Africa at an event where other nations have undergone a similar selection process

- b. A team who through a selection process represents South Africa at an event where other nations have undergone a similar selection process
 - c. The following competitions where teams have been selected at the country's highest level:
 - i A test match against another country
 - ii A triangular or competition of multiple teams
 - iii A World Cup
 - iv A World Championship
6. Where any rules or application of rules are omitted from this document, the rules and Regulations of SASCO shall apply.

Awarding of and application of colours



Non-FEI Disciplines:

Junior Colours (Protea)

- For JUNIOR colours there are no age categories e.g. U/14 or U/16 etc.
- When application is being made, reference should be to JUNIORS and not to any particular age group.
- International bodies of some of the Disciplines categorise JUNIORS up to the age of u/21. The SAEF JUNIOR classification is u/18 on the 1st of January of the year concerned.

However, if a Discipline's International Federation allows for JUNIOR competitions up to and for u/21, these athletes will be selected as JUNIORS.

Senior Colours (Protea)

- SENIOR athletes will be all athletes 18 years and older on the 1st of January. Consent may be given by the Discipline concerned, to a JUNIOR athlete, who has motivated in his/her written application, to their National Discipline Council for SENIOR colours, and provided the correct qualifications and criteria of that Discipline have been acknowledged.

Teams are to be selected at the **HIGHEST LEVEL** as stipulated by the specific International Federations.

Please note that only the best of the best athletes may be selected for Protea Colours.

FEI Disciplines:**Junior Colours (Protea)**

- In view of the different FEI categories stipulating that all under 18 athletes should be referred to as JUNIOR in their application.
- This will be subject to them being under the age of 18 on the 1st of January in the year that they wish to compete.
- Therefore, when application is being made, reference should be to JUNIORS and not to any particular age group.

However, if a Discipline's International Federation allows for JUNIOR competitions for Athletes who are under the age of 21, then these athletes will be selected as JUNIORS (Endurance has a Junior / Young Rider category -14 to 21 years).

Senior Colours (Protea)

- SENIOR athletes will be all athletes 18 years and older on the 1st of January.
- Consent may be given by the Discipline concerned, to a JUNIOR athlete, who has motivated in his/her written application to their National Discipline Council for SENIOR colours and provided the correct qualifications and criteria of that Discipline have been acknowledged.

Teams are to be selected at the **HIGHEST LEVEL** as stipulated by the FEI.

Please note that only the best of the best athletes may be selected for Protea Colours

COLOURS WILL NOT BE AWARDED TO THE FOLLOWING:

- The supporting or non-competing and managerial component of a national team or individual athletes will not be awarded national colours, except for managers and coaches.
- In the case of a team consisting of many individuals or in the case of multi-faceted codes of sport and sport for the disabled, a motivated request may be submitted to the Board for the award of colours, which includes assistant managers and/or assistant coaches.

Specific exclusion

Other members of the support/management team such as medical staff, physiotherapists, trainers, heads of delegation, media representatives, skippers, etc. do not qualify for national colours.

The following members of international teams may apply for National colours.

1. Team manager and assistant team manager
2. Coach and assistant coach
3. All athletes selected

Embroidery for selected Protea members must be as per the Protea Badges above.

Procedure for application of National Colours (for all disciplines):**Documentation required when applying for National Colours.**

1. Completed NC 1 and NC 2 application forms.
2. Copies of all applicants' passports
3. Letter of approval and endorsement for international event from INTERNATIONAL FEDERATION
4. Letter of approval to attend international event from the SAEF
5. Invitation from hosting country.
6. Letter from hosting country's National body confirming that the Hosting team has been selected at the HIGHEST level and that this team will receive their country's National Colours.
7. Letter of acceptance to hosting country from discipline applying for Colours
8. List of selectors clearly identifying convener of selectors. (Convener of selectors does not have any say in the selection process he/she has a casting vote should a deadlock occur during the selection of a specific athlete.
9. List of selection dates
10. Selection criteria of the applicable discipline
11. Proof that all athletes have received the selection criteria
12. Minutes of all selection meetings
13. Resolution from DA National body approving the athletes selected by the selectors panel
14. All athletes, managers and coaches must be SAEF and Discipline registered and in good standing
15. Signed SAEF Code of Conduct for Athletes
16. Signed SAEF Code of Conduct for Managers and Coaches
17. All athletes must participate in the foregoing National championships of the discipline before the international event.
18. Exception to para. 17: permission is granted by SASCOC to Athletes living and competing in Europe, giving them exemption from clause 1.6 of the Agreement on the selection of Athletes for the Olympic Games or WEG.

It is important to note that the below mentioned documents do not form part of the application process for colours, but must be included for the SAEF submission to SASCOC for the approval of protea colours.

If a discipline wishes to host an international event in South Africa, the following documentation must accompany the above application:

1. Letter of application from a Discipline to SAEF to Host an International Event
2. Letter from International Federation whether they will contribute financial support or not

3. Endorsement letter from the Host Province (SAEF Provincial Federation)
4. Endorsement letter from the Host City (Local Municipality)
5. Endorsement letter from the Provincial Sport Confederation
6. Endorsement letter from the Provincial Department of Sport Arts and Recreation of the Province where an Event is hosted.
7. Budget for the Event indicating that the necessary funds are available for the successful completion of the event
8. Supporting documents from Sponsors supporting the event
9. Project plan / Business Plan

South African Equestrian Federation Colours (navy blazer)



SAEF colours to be awarded as per below:

Athletes who do not qualify for Protea Colours, but who participate at the highest level of a competition for e.g. B teams or teams participating in Africa Games, Continental Games and other recognized Internationals, may be considered for SAEF Colours.

GOLD SAEF COLOURS 1ST LEVEL BEFORE PROTEA COLOURS

- Will be awarded to athletes participating in the highest level of the competition

SILVER SAEF COLOURS

- Will be awarded to athletes participating in 1- or 2-star events

BRONZE SAEF COLOURS

- Will be awarded at entry level and Development competitions

PROVINCIAL COLOURS APPLICATION:

Discipline specific criteria to be used which must be in line with the criteria of the National Discipline Association.

These requirements are also applicable to SANESA when applying for Provincial Schools colours.

When applying to the SAEF Provincial Federation for Provincial colours the following documentation must accompany the application. The SAEF Provincial Federation will endorse the application and forward it to the Provincial Sports Confederation of the applicable Province for approval.

1. Completed application form as supplied by the applicable Provincial Sports Confederation.
2. Name and ID numbers of athletes for whom colours are requested. This is also applicable for the managers and coaches.
3. Letter of approval and endorsement from National Discipline Association
4. Letter of confirmation from National DA of date and Province where Provincial Championships will take place.
5. List of selectors clearly identifying convener of selectors. Convener of selectors does not have any say in the selection process he/she has a casting vote should a deadlock occur during the selection of a specific athlete.
6. List of selection dates
7. Selection criteria of the applicable discipline
8. Proof that all athletes have received the selection criteria
9. Minutes of all selection meetings
10. Resolution from DA Provincial body approving the athletes selected by the selector's panel
11. All athletes, managers and coaches must be SAEF and discipline registered and in good standing (Fully paid up)
12. Signed SAEF Code of Conduct for athletes
13. Signed SAEF Code of Conduct for Managers and Coaches

Please note that only the best of the best athletes should be selected for Provincial Colours

DOCUMENT: NOTICE OF PROPOSED TEAM SELECTION

Note: whenever a team is selected at any level, be it provincial, national or international, a Notice of Team Selection must be circulated to all those who are interested in participating irrespective of their capacity. Should any dispute arise whatsoever which requires SAEF involvement, this Notice of Team Selection will be referred to.

The following information must be contained in your communication:

1. Nature of team to be selected:
 - a. Development team
 - b. Provincial team

- c. National team
 - d. International team
2. Objective of selection:
- a. To select the best team in the country/Province
 - b. Best Rider or best horse and rider
 - c. To select a team based on age or experience
 - d. To select a friendship team
3. Method of selection
- a. Trials will be conducted
 - b. A ranking method will be used
 - c. A series of events or competitions will be used
4. Define the selection procedure and timing
- a. List the dates of the selection
 - b. List the competitions where selectors will select
 - c. List the minimum requirements for selection eligibility
 - i. Age
 - ii. Competition level
 - iii. Experience
 - iv. Pre-qualification
 - v. Horse only/ Rider only/Horse and rider combination
 - d. List the last date for selection
 - e. List the date for team announcement
 - f. List the selectors
 - g. List selectors duties
 - i. Minute taker
 - ii. Data collator
 - h. Select the chairman of the selectors
 - i. Establish your conflict-of-interest clause or your selectors
5. Establish the criteria for selection of officials, managers and other parties.
6. A copy of this Notice of Team Selection must be sent to the Secretary General

APPENDIX GR/C – DUTIES OF THE DISCIPLINE ASSOCIATION OR SAEF REPRESENTATIVE

APPOINTMENT

A Discipline Association and/or SAEF Representative (Technical Delegate for all Discipline Associations) will be appointed for each National Status Event (CN Event). Other than for Multi Discipline Events, the appointment will be made by the Organising Committee of the Event, subject to the approval of the Chairman of the Discipline Association concerned, or in his/her absence, the Secretary General. The appointment for Multi Discipline Events will be made by Secretary General, in consultation with the Discipline Associations concerned.

EXPENSES

SAEF and/or Sponsors obtained will meet the travelling expenses of the Representative from his/her home to the event and back. All other expenses will be met by the Organising Committee concerned. These include local travelling, accommodation and ALL meals. Disciplines concerned may decide to split evenly if more than one Discipline is involved, should they so agree.

QUALIFICATIONS

It is essential that the Representative has a detailed knowledge of the General and Veterinary Regulations and a general knowledge of the discipline competing. Other than at Multi Discipline Events, he/she must be a member of the Senior Officials Panel of that Discipline.

DUTIES AND RESPONSIBILITIES

The prime responsibility of the Representative is to ensure that the rules of SAEF and the Discipline and the terms of the schedule are properly and correctly applied and to permit variations only where they are in the interest of the sport as a whole and of all the athletes concerned.

He/she will also be responsible for the following:

- i. Adjudicating and sorting out any passport omissions and errors with the Person Responsible.
- ii. Ensuring that any changes to the programme(s) and/or timetables are fair and do not prejudice any athlete.
- iii. Ensuring that all of the Medication Control Equipment is available at the event and that all documentation is correctly and legibly completed in respect of each sample taken.
- iv. Ensuring that the Veterinarians are in attendance during the main class of the event and that the SAEF regulations regarding the Veterinarian's duties are complied with.
- v. Ensuring that the Result sheets are properly completed in all respects and that they are sent to all Discipline Association and to SAEF within the stipulated times.
- vi. Deciding, in conjunction with the Organising Committee, the President of the Ground Jury and, if necessary, the Course Designer, whether an event or part thereof should, due to circumstances, be postponed, suspended or cancelled.
- vii. Ensuring that the correct protocol for awarding prizes is followed.
- viii. Ensuring that all forms required by the rules and regulations are available at the event, that they are completed by those responsible and returned to the Federation/ SAEF and the Discipline Association.

- ix. Ensuring that the prize money distributed has been correctly calculated in terms of the General Regulations. Take into account the Discipline Rules and FEI events where there are differences.
- x. Writing a report in the prescribed format for submission to the entity appointing him/her and to the Federation/SAEF. The official SAEF Representative Report to be sent to the OC, also available online.
- xi. Ensure that the report by the Chief Steward is completed and sent to SAEF and the Discipline Committee. The official SAEF Chief Steward's Report to be sent to the OC, also available online.
- xii. In exceptional circumstances and in agreement with the Ground Jury and the Discipline Association Chairman, vary the qualification requirements of any championship.

RESTRICTION

The Discipline Association and/or SAEF Representative may not interfere, nor may he/she become involved with the judging of any class unless he/she is also an appointed member of the Ground Jury, but may not be the President of the Ground Jury.

APPENDIX GR/D- JURISDICTION AREAS ACCORDING TO THE GEO-POLITICAL BOUNDRIES OF THE REPUBLIC OF SOUTH AFRICA

There shall be nine (9) Geo-Political Provinces incorporated in the SAEF Constitution.

The areas of jurisdiction of the Geo-Political Provincial Boundaries are set out below:

- i. **In the Province of Western Cape (Cape Town)**
 - West Coast (Malmesbury)
 - Boland (Stellenbosch)
 - Overberg (Swellendam)
 - Eden (George)
 - Central Karoo (Beaufort West)
 - Cape Town Unicity (Cape Town)
- ii. **In the Province of Northern Cape (Kimberley)**
 - Namakwa (Springbok)
 - Pixley-ka-Seme (De Aar)
 - Siyanda (Upington)
 - Kgalagadi (Kathu)
 - Frances Baard (Kimberley)
- iii. **In the Province of the Eastern Cape (Bisho)**
 - Cacadu (Grahamstown)
 - Amathole (East London)
 - Chris Hani (Queenstown)
 - Ukhahlamba (Aliwal North)
 - OR Tambo (Umtata)
 - Alfred Nzo (Mount Ayliff)
 - Nelson Mandela (Port Elizabeth)
- iv. **In the Province of Free State (Bloemfontein)**
 - Xhariep (Trompsberg)
 - Motheo (Bloemfontein)
 - Lejweleputswa (Welkom)
 - Thabo Mofutsanyane (Phuthaditjhaba)
 - Fezile Dabi (Kroonstad)
- v. **In the Province of Kwazulu-Natal (Pietermaritzburg)**
 - Ugu (Port Shepstone)
 - Umgungundlovu (Pietermaritzburg)
 - Uthukela (Ladysmith)
 - Umzinyathi (Dundee) -

- Amajuba (Newcastle)
- Zululand (Ulundi)
- Umkhanyakude (Mtubatuba)
- Uthungulu (Richards Bay)
- iLembe (Kwa Dukuza)
- Sisonke (Ixopo)
- Ethekewini (Durban)

vi. In the Province of Mpumalanga (Nelspruit)

- Gert Sibande (Standerton)
- Nkangala (Witbank)
- Ehlanzeni (Nelspruit)

vii. In the Province of Limpopo (Polokwane)

- Mopani (Giyani)
- Vhembe (Thohoyandou)
- Capricorn (Polokwane)
- Waterberg (Bela-Bela)
- Sekhukhune (Groblersdal)

viii. In the Province of North West (Mafikeng)

- Bojanala (Rustenburg)
- Central (Mafikeng)
- Bophirima (Vryburg)
- Southern (Klerksdorp)

ix. In the Province of Gauteng (Johannesburg)

- Sedibeng (Vereeniging)
- Johannesburg (Johannesburg)
- Ekurhuleni (Germiston)
- Metsweding (Bronkhorstspuit)
- Tshwane
- West Rand

APPENDIX GR/E- DECLARATION OF EMERGENCY VETERINARY TREATMENT FOR NATIONAL AND PROVINCIAL EVENTS

During a period of 14 days prior to an event any prohibited substances must be administered by a Veterinarian only and must be declared on this form fully completed.

- The Veterinarian administering the medication must complete PART 1 of this declaration.
- In addition, the Person Responsible (Athlete) must sign PART 2.
- PART 3 will be completed by the Veterinary Delegate appointed.
- PART 4 will be completed by the President of the Ground Jury.

The form, with PART 1 and 2 completed must be handed to the Chief Steward, by the Treating Veterinarian or the Person Responsible.

It is the duty of the Chief Steward to ensure notification of the submission of the form is brought to the attention of the appointed Veterinary Delegate for a decision and signing of the document prior to the horse competing.

Once the Veterinary Delegate assessed the situation, medication administered and the condition of the horse a decision will be made on the further participation of the horse at the event. Once the Veterinary Delegate has completed PART 3 of the Declaration, he/she will discuss the case with the President of the Ground Jury for final conclusion of the case.

With all 4 parts of the Declaration completed and signed, the form must be filed with all other Medical Declaration forms and be submitted to the Discipline Association and the Federation/SAEF with the Report from the Veterinary Delegate.

A copy of the Declaration form should be attached to the report submitted by the President of the Ground Jury.

It is the duty of the Discipline Association and/or the Federation/SAEF to ensure the safe keeping of all Declaration forms in case of MCP Sampling.

The Declaration form can be found on the SAEF website: www.saeef.org.za

APPENDIX GR/F - TEAM SELECTION PROCEDURE

The following procedure must be followed when selecting teams by those Disciplines who do not have provision in its rules and regulations for Team Selection. To the extent that a Discipline does have rules and/or regulations for team selection, those rules shall prevail.

SELECTION COMMITTEES

At its Annual Meeting each Discipline Association must appoint one or more National Selection Committees. Each committee shall consist of a Chairman and four selectors. Where more than one committee is appointed, the areas for which each is responsible must be clearly set out.

No person who is:

- Related to;
- Coaches, trains or instructs and/or;
- Own any horse ridden by any competitor;

may serve as a selector.

A person under consideration for selection in a specific discipline may serve as a selector in any other discipline, except the one under consideration.

Selectors are responsible to the Discipline Association by whom they were appointed.

It is important when appointing Selectors that the members of the selection committee are competent and have the time available to attend events.

INVITATIONS

Invitations from National Federations, including the FEI to compete in team competitions that are received by SAEF, shall be sent immediately to the Discipline Association concerned. The Chairman shall then notify the Chairman of the Selectors if South Africa is going to participate.

The information required by the selectors shall include:

- i. Age Group of Team
- ii. Riding own or borrowed horses
- iii. Level of competition
- iv. NF issuing invitation V. Venue
- v. Date of competition
- vi. Date by which team selection must be completed
- vii. Any special conditions or criteria to be taken into account
- viii. Number of reserves required X. Chef d'Equipe or not
- ix. Team Veterinarian or not

The Chairman of the Selection Committee shall decide which Selectors shall select the team required. The minimum number is 3.

SELECTION

It is the sole responsibility of the appointed selectors to select the team as required. Their decision is not subject to query and unless requested to reconsider any selection by the Discipline Association they need not give any reasons for their selection nor how they arrived at their decision.

On completion of their selection the Chairman of the Selectors shall issue a certificate to the Discipline Association Chairman, in the prescribed format; certifying:

- i. The names of the team members and where applicable the names of the horses
- ii. The names of the reserves in order of preference together with the names of the reserve horses if required
- iii. The name of the Chef d'Equipe if required
- iv. The name of the Team Veterinarian if required.
- v. Certification that the team has been selected in accordance with SAEF/Federation General Regulations and the Disciplines Selection criteria, together with any variations lay down by the Discipline Association in respect of that team selection.

If there is no problem or query the Chairman of the Discipline Association shall send a copy of the certificate to the SG, who will forward it to all members of Council for ratification. Ratification shall be by Round Robin resolution and shall require a simple majority.

Should Council or the Discipline Association Chairman not be satisfied with any aspect of the selection they will immediately request the Selection committee to reconsider their selection taking into account the concerns of the Discipline Association and/or that Council.

The decision of the selectors shall then go through the same process again. Should it not be possible to reach agreement the matter must be resolved at a joint meeting of the Selectors, the Discipline Association Chairman and Council.

NOTIFICATION TO TEAM MEMBERS

On receipt of Council ratification, the Secretary General shall notify the Discipline Association who in turn will notify the athletes concerned and the names of those finally selected together with the names of any team officials (Chef d'Equipe) shall be placed on the SAEF/Federation Website.

DISQUALIFICATION OF SELECTOR

If a selector contravenes any of the above sections as determine within the discretion by the Secretary- General, he may without any delay immediately announce and publish the disqualification of the relevant selector(s).

PROVINCIAL TEAM SELECTION

The criteria set out above with regard to National Team Selection will *mutatis mutandis* (the same) applicable to the selection of Provincial Teams by the Provincial Discipline Associations in appointing their selection committee.

APPENDIX GR/G - CODE OF ETHICS

To recognise the interest of all the constituencies of equestrianism including Discipline Associations, Athletes, Owners, Organisers, Officials, sponsors and the SAEF itself to foster their harmonious collaboration and to formalise the collective commitment to the Olympic ideal.

The achievement of this goal and the image of equestrian sport are dependent on the respect of the following ethical principles that are compatible with maintenance of values, spirit and ideals of equestrian sport and its part in the Olympic Movement.

Consequently, all participants in equestrian sport, including but not limited to Athletes (and other Support Personnel), Owners, Organisers, Officials, sponsors and the SAEF volunteers and staff undertake to respect and be bound at all times by the present Code, and by the IOC Code of Ethics where applicable.

DIGNITY

1. Safeguarding the dignity of the equine and human Athlete is a fundamental requirement of equestrianism.
2. There shall be no discrimination between the human Athletes on the basis of race, gender, ethnic origin, religion, philosophical or political opinion, marital status or other grounds.
3. All doping practices at all levels are strictly prohibited. The provisions against doping in the Equine Anti-Doping and Controlled Medication Regulations and the Anti-Doping Rules for Human Athletes shall be scrupulously observed.
4. All forms of harassment of Athletes, be it physical, professional or sexual, and any physical or mental injuries to participants, are prohibited.
5. All forms of participation in, or support for betting on the Olympic Games, and all forms of promotion of betting on the Olympic Games are prohibited.
6. Also, in the context of betting, participants in the Olympic Games and SAEF Events must not, by any manner whatsoever, infringe the principle of fair play, show non-sporting conduct, or attempt to influence the result of a competition in a manner contrary to sporting ethics.
7. SAEF constituents shall guarantee the Athletes conditions of safety, well-being and medical care favourable to their physical and mental equilibrium.

INTEGRITY

1. SAEF Representatives shall not, directly or indirectly, solicit, accept or offer any form of personal remuneration or commission, nor any concealed benefit or service of any nature, connected with the organisation of SAEF Events that is not part of the official negotiation process or set forth in the contract with the Organising Committee.
2. Only gifts of nominal value, in accordance with prevailing local customs, may be given or accepted by SAEF Exco members, SAEF volunteers or SAEF staff by or to any member of an

Organising Committee bidding for a SAEF Event as a mark of respect or friendship. Any other gift must be passed on to the organisation of which the beneficiary is a member.

3. The hospitality shown by any Organising Committee bidding for a SAEF Event to the volunteers and members of the SAEF as well as persons accompanying them, shall not exceed the standards prevailing in the host area.
4. Conflicts of interest, whether real or perceived, are to be avoided.
5. Even the appearance of misconduct or impropriety should be recognised as damaging to the SAEF's reputation and should therefore be avoided.
6. SAEF constituents must not be involved with organisations, firms or persons whose activity or reputation is inconsistent with the principles set out in the present Code.

GOOD GOVERNANCE AND RESOURCES

1. The basic universal principles of good governance of the SAEF, in particular transparency, responsibility and accountability, must be respected by all SAEF constituents.
2. The resources available to SAEF volunteers and staff may be used only for SAEF purposes.
3. The SAEF's income and expenditures shall be recorded in its accounts, which must be maintained in accordance with generally accepted accounting principles. An independent auditor will check these accounts.
4. In cases where the SAEF gives financial support to any Discipline Association or other Member, Organising Committee or other person or entity:
 - a. The use of these SAEF resources must be clearly demonstrated in the accounts of the recipient;
 - b. The accounts of the recipient may be subjected to auditing by an expert designated by the SAEF Exco upon reasonable notice to the recipient.
5. SAEF constituents recognise the significant contribution that broadcasters, sponsors, partners and other supporters of equestrian events make to the development and prestige of SAEF Events. Such support must be in a form consistent with the rules of sport and the principles defined in the SAEF Rules and Regulations, the Olympic Charter and this Code. They must not interfere in the running of the sport. The organisation and staging of equestrian competitions are the exclusive responsibility of the SAEF and, where appropriate, the Discipline Associations and/or Organising Committees recognised by the SAEF.

CONFIDENTIALITY

SAEF constituents shall not disclose information entrusted to them in confidence. Disclosure of other information shall not be for personal gain or benefit, nor be undertaken maliciously to damage the reputation of any person or organisation.

IMPLIMENTATION

1. The SAEF Headquarters shall see to it that the principles and rules of the SAEF Rules and Regulations, the Olympic Charter and the present Code are applied.

2. The SAEF Headquarters shall inform the SAEF President of any breach of the present Code, with a view to possible referral to a SAEF Ethics Panel or the Equestrian Community Integrity Unit.
3. The SAEF Exco may, if it wishes, set out the provisions for the implementation of the present Code in a set of Implementing provisions.

The principles listed above form the SAEF Code of Ethics which all SAEF constituents must comply with as a condition of representing or participating in SAEF activities in any form and under any circumstances.

APPENDIX GR/H - SAEF OFFICIALS' CODE OF CONDUCT

As an SAEF Official I undertake to respect all SAEF Rules and Regulations at all times, and in particular the SAEF Code of Ethics and Conflict of Interest Policy and the SAEF Code of Conduct for the Welfare of the Horse.

I am aware that I am a representative of the SAEF while officiating at any Event under the auspices of the SAEF. I am also conscious of my role as an authority and of the associated obligation to have adequate knowledge of the principles of equestrian sport and the relevant Rules and Regulations, and to apply them at all times in a fair and consistent way.

I will fulfil all SAEF and Discipline Association requirements (including maintaining the necessary qualification) relevant to each Event to which I am appointed.

While I am officiating:

- I will act in an appropriate and respectful manner towards humans and animals, paying constant attention to their safety and I will carry out all duties in a professional manner;
- I will refrain from consuming alcohol, and I will not use any substances and/or medications that would impair my judgement;
- I will not compete at the Event;
- I will be willing to answer the questions of stakeholders (including but not limited to Athletes, Owners and Trainers, Organisers, Grooms and my colleagues). I will take the time to politely and objectively explain my decisions where possible;
- I will be familiar with all the relevant Rules and Regulations and will be well prepared for every Event;
- I will cooperate with the Organiser of the Event and with my colleagues;
- I will be punctual and dress appropriately.

I commit to avoid any actual or perceived conflict of interest. A conflict of interest is defined as any personal, professional or financial relationship, including relationships of family members that could influence or be perceived to influence objectivity when representing or conducting business or other dealings for or on behalf of the SAEF and/or Discipline Association.

I will maintain a neutral, independent and fair position towards Athletes, Owners, Trainers, Grooms, Organisers, other Officials and stakeholders. Financial and/or personal interests will never influence my officiating duties and I will spare no effort to avoid any such perception. I agree not to make any financial contributions, donations in cash or in-kind or otherwise to an Organiser in exchange for being appointed as an Official to the Event. For the avoidance of any doubt, the direct payment of travel expenses and/or accommodation expenses by an SAEF Official is acceptable.

I will not engage in nationalistic (provincialistic) judging.

Activities that lead or may lead to a conflict of interest when officiating at an Event include but are not limited to:

- Acting as a Chef d'Equipe or being responsible /co-responsible for selecting teams and/or individuals or training Athletes within a Province (or Region) present at the Event, if the teams and/or individuals participate in a competition falling within the level and age group of the authority of the Official;

- Being the Owner/part-Owner of a Horse taking part in a competition that I am officiating at;
- Being in a situation of financial dependence or gaining financial profit from participating Owners, Athletes, Trainers or Organisers (excluding any payment(s) permitted under the Rules and Regulations, such as per diems). The same rule applies with regard to Provincial Federations or other organisations involved in the Event, if the dependence exceeds a regular employment. Employees of participating Provincial Federations cannot act as President of the Ground Jury, Chief Steward, Veterinary Delegates, or Course Designer at Official CN Events and National Championships;
- Having a close personal relationship with an Athlete competing in a competition that I am officiating at;
- Having recently treated a Horse competing in a Competition that I am officiating at.

On becoming aware of a potential Conflict of Interest I undertake to promptly notify the SAEF Headquarters and/or Discipline Associations (or where the conflict only arises and/or is identified on-site, the President of the Ground Jury) of any of the above or other possible conflicts of interest or circumstances that may be perceived as such.

Conflicts must be avoided whenever practicable. However, conflicts may be linked to experience and expertise that is necessary to qualify Officials. The specific balance between conflict and expertise is regulated by the General Regulations and the relevant Discipline rules.

In the course of my duties or when representing the SAEF and/or Discipline Association, I will refrain from making any public statements, including to the media or in social media, that might cause harm to the SAEF, the Discipline Association or to equestrian sport in general. This includes statements that might create a perception of bias.

I will not place bets on Athletes and Horses competing at Events or otherwise receive any financial or other gain as a product of a particular result.

The breach of any obligation assumed under this Code of Conduct and/or any breach of the Rules and Regulations may lead to any of the following sanctions as stipulated in the Rules:

- Warning letter;
- Obligation to attend an SAEF course and/or to pass an exam
- Fine
- Suspension from officiating duties (provisional or for a stated period of time);
- Removal from the relevant and appropriate SAEF lists of Officials;
- Any other sanctions as stated in the relevant Rules and Regulations.

APPENDIX GR/I – RATIONALE FOR THE UNSANCTIONED EVENTS PROVISION

1. The primary mission of the SAEF, as the national governing body of equestrian sport, is 'to direct, develop and administer Equestrian sport within South Africa by promoting, developing and marketing Equestrian sport and to foster a spirit of healthy competition, sportsmanship and fair play in the approved equestrian Disciplines'.
2. At the core of that mission are the following two fundamental principles:
 - 2.1 In each equestrian Discipline, the welfare of the Horse must be the paramount consideration at all times. It must never, in any circumstances, be subordinated to competitive or commercial considerations; and
 - 2.2 Equestrian sport depends, for its credibility, on public acceptance derived from the integrity of its competitions. Behind this precept lies the premise that the best Athletes should win fairly and squarely, having competed under even and equitable conditions and under Rules that are themselves fair, realistic, and applied with scrupulous competence and even-handedness. No result can be meaningful or valid if it has not been achieved on a level playing field.
3. The SAEF can only protect and promote these two principles by putting in place detailed Regulations protecting Horse welfare and competition integrity (such as the SAEF Code of Conduct for the Welfare of the Horse, the SAEF Veterinary Regulations, and the Equine Controlled Medication Rules) and the integrity of Competition (such as the Anti-Doping Rules For Human Athletes and the Equine Anti-Doping and Control Medications Regulations) and by making acceptance of Events into the official Calendar conditional upon the Organiser adopting all of those Regulations and making them binding on all participants in those Events. Only by these means can the SAEF ensure the uniform application of the necessary Regulations in all Events and hold all Event Organisers and participants accountable under those Regulations for conducting themselves in a manner that protects the safety and integrity of equestrian sport.
4. In recent years, equestrian sport has seen an increase in the number of events being promoted by private entrepreneurs who avoid regulation by and accountability to the SAEF by organising their events outside of the official Calendar. Such Unsanctioned Events threaten to undermine the SAEF's ability to achieve its mission in the following ways:
 - 4.1 The core purpose of the official Calendar to ensure that undue demands are not placed on Horses or Athletes participating in Events. Unsanctioned events circumvent (and so undermine) those protections.
 - 4.2 Unsanctioned Events are not subject to SAEF or Discipline Regulations, and their organisers and participants are not accountable to the SAEF or Discipline Association for compliance with such regulations. As a result, the SAEF and Discipline Association has no way of safeguarding the welfare of Horses and Athletes participating in such events, or of protecting the integrity of the events. The same may be true at the local level if a local event is conducted outside the Rules and even in circumstances where the SAEF and/or Discipline Association expressly objected.
 - 4.3 This presents a risk of great harm to equestrian sport both directly (through physical harm to Horses and Athletes participating in Unsanctioned Events) and indirectly (by undermining public confidence in the ability of the SAEF to protect the safety and integrity of the sport). The public is unlikely to appreciate fully the distinction between sanctioned and Unsanctioned Events, and so if problems occur in Unsanctioned Events

the image of the entire sport will suffer, and public confidence in the ability of the SAEF to maintain the integrity of the sport and to protect the welfare of its participants will be undermined, to the great detriment of the sport as a whole.

5. The SAEF recognises the need to be proportionate in its regulation of the sport, and in particular to intervene no further than is necessary to protect the sporting imperatives identified above. Therefore:
 - 5.1 The SAEF will, together with the various Provincial Federations and Discipline Associations, seek to determine what these barriers are and to, where possible, work towards a solution that reduces the need for Unsanctioned Events;
 - 5.2 Any Unsanctioned Event falls outside the scope of the SAEF and any participation in such an event (as a SHB, Athlete, Official) is undertaken at the SHB, Athlete and/or Official's own risk. SAEF (including its Members) will not be held liable or responsible for any damages or loss caused to any person and/or property during or at such an Unsanctioned Event.
 - 5.3 It is not triggered by participation in Unsanctioned Events that the SAEF or Discipline Association authorise or have no express objection to, such as local events run outside the SAEF structure but with the knowledge and acceptance of the SAEF and/or Discipline Association. Again, however, if this is abused, it may be necessary to revisit and tighten the regulation.